

# Introduction to Ando - Haagerup theory

Reiji Tomatsu

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Section 1 Introduction

How can we prove that?

§ 1.1 Main thm

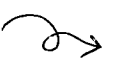
Let  $M_n, \varphi_n$  be sequences of

$\sigma$ -fin. v N alg's & faithful normal states on  $M_n$ .

Then we have

$$\sigma_t^{\varphi^w} \left( (x_n)^w \right) = \left( \sigma_t^{\varphi_n} (x_n) \right)^w$$

for all  $(x_n)^w \in (M_n, \varphi_n)^w$



Oreanu ultraproduct.

Advantage:

- Instead, use another kind of ultra product  $\prod^w M_n$ , the Girk-Royand ultra product.

- We can use all bdd sequences.

- We can compute "the standard Hilbert space of  $\prod^w M_n$ ."

- One may use KMS condition? (Royand)

$\rightarrow$  seems difficult since

$\alpha: \mathbb{R} \curvearrowright M$  continuous

§

$\alpha^w: \mathbb{R} \curvearrowright M^w$  discontinuous in general.

ADDED OCT. 6. 2014

This result is due to

- Kirchberg
  - Royand
  - Ando - Haagerup
- independently.

In this note, we will treat a  
 single  $M$  & its ultrapowers  
 $M^u$ ,  $\prod^u M$ . to simplify notations

§1.2

Notations

\*  $M : \forall N$  alg.  $\epsilon$ -finite

↖ math roman font.

• For  $\varphi \in M^*$  &  $x \in M$ ,

$$\|x\|_\varphi := (\varphi(x^*x))^{1/2} \rightarrow \epsilon\text{-strong top.}$$

$$\|x\|_\# := (\varphi(x^*x) + \varphi(x x^*))^{1/2}$$

↘  $\epsilon$ -strong\* top.

•  $\mathcal{Z}(M) := M' \cap M$

§1.3 ω

Let ω be a free ultrafilter on ℕ,  
i.e.

• filter

- $\emptyset \notin \omega$
- $A, B \in \omega \Rightarrow A \cap B \in \omega$
- $A \in \omega \ \& \ A \subset B \Rightarrow B \in \omega$

• ultra

$\forall A \in \mathcal{P}\mathbb{N}$ , either  $A \in \omega$  or  $A^c \in \omega$

• free

ω is not of the form  
 $\{A \in \mathcal{P}\mathbb{N} \mid \underset{\text{given}}{n} \in A\}$   
 $\Leftrightarrow \forall A \in \mathcal{P}\mathbb{N}$  finite  $A \notin \omega$

•  $x_n \in X$  sequence  
 top sp.

$x_n \rightarrow x, n \rightarrow \omega$

defn  $\Leftrightarrow \exists U \ni x$  open  $\exists A \in \omega$  s.t.  $n \in A \Rightarrow x_n \in U$

$\Leftrightarrow \exists U \ni x$  open,  $\{n \mid x_n \in U\} \in \omega$   
 $\stackrel{\text{filter}}{\Leftrightarrow} \exists A \in \omega$  s.t.  $n \in A \Rightarrow x_n \in U$

Thm. 1.1

$\forall x_n \in X$  (cpct Haus.)  
 $(n \geq 1)$

$\exists!$   $x \in X$  s.t.  $x_n \rightarrow x (n \rightarrow \omega)$

Proof

Otherwise,  $\forall y \in X, \exists V_y$  open nbhd of  $y$ .

$\{n \mid x_n \in V_y\} \notin \omega$  ...





# Section 2 Ultraproduct $\forall N$ alg

But  $\mathcal{L}^\infty / \mathcal{I}_\infty$  is NOT a  $\forall N$  alg.

§2.1 Why ultraproduct?

Origim

$x_n \in M$  finite  $\forall N$  alg  
 $\uparrow$   
 central sequence

(i.e.  $[x_n, y] \xrightarrow{\mathcal{L}^\infty} 0$  for all  $y \in M$ ).  
 $n \rightarrow \infty$

Let

$x := (x_1, x_2, \dots) \in \mathcal{L}^\infty(M)$

$y := (y, y, \dots) \in \mathcal{L}^\infty(M)$ .

$x_n y \sim y x_n$   
 $\downarrow$   
 $\mathcal{I}_\infty(M)$   
 $\{ (z_n) \mid z_n \xrightarrow{\mathcal{L}^\infty} 0 \}$   
 $n \rightarrow \infty$

Then  $x y = y x$  in  $M$   
 $\mathcal{L}^\infty / \mathcal{I}_\infty$   
 really equal  $\rightsquigarrow$  various benefits.

$\rightsquigarrow$  Consider  $\mathcal{I}_\omega$   
 3 kinds of ultraproducts.

(1) Cauchy ultraproduct §2.2

$M^\omega = \mathcal{L}^\infty(M) / \mathcal{I}_\omega$

(2) Gireh - Raymond ultraproduct §2.3

$\prod^\omega M \subset B(\prod^\omega H)$   
 $\uparrow$   
 acts diagonally.

(3) Galois type

$\mathbb{R} \cong M^\omega$

So we don't treat this type.

# 6 Notations

$M$ : fixed  $\sigma$ -fin.  $W$   $\mathcal{N}$ -alg  
possibly non-sep.

$$\mathcal{K}^\infty := \mathcal{K}^\infty(M)$$

$$= \{ (x_n) \mid x_n \in M \text{ \& } \sup_{n \in \mathbb{N}} \|x_n\| < \infty \}$$

a unital  $C^*$ -alg

$$\mathcal{L}_w := \{ (x_n) \in \mathcal{K}^\infty \mid x_n \xrightarrow{S} 0, n \rightarrow \infty \}$$

$$= \{ (x_n) \in \mathcal{K}^\infty \mid \|x_n\|_q \xrightarrow{n \rightarrow \infty} 0 \}$$

a faithful normed state on  $M$

a norm closed left ideal of  $\mathcal{K}^\infty$

$$\mathcal{K}_w^* := \{ (x_n^*) \in \mathcal{K}^\infty \mid (x_n) \in \mathcal{K}_w \}$$

a norm closed right ideal of  $\mathcal{K}^\infty$

$$\mathcal{I}_w := \mathcal{I}_w \cap \mathcal{K}_w^*$$

( $w$ -trivial sequence)

$$= \{ (x_n) \in \mathcal{K}^\infty \mid x_n \xrightarrow[n \rightarrow \infty]{S^*} 0 \}$$

a hereditary  $C^*$ -subalg of  $\mathcal{K}^\infty$ .

(not an ideal of  $\mathcal{K}^\infty$  in general)  
it is if  $M$  finite.  
because  $x_n \xrightarrow{S^*} 0 \iff x_n \xrightarrow{S} 0$

$$\mathcal{M}^w := \{ (x_n) \in \mathcal{K}^\infty \mid \alpha \mathcal{I}_w \subset \mathcal{I}_w \}$$

$$\mathcal{I}_w \alpha \subset \mathcal{I}_w$$

a unital  $C^*$ -subalg of  $\mathcal{K}^\infty$ .

(normalizer or idealizer or multiplier of

$$\mathcal{I}_w \text{ in } \mathcal{K}^\infty)$$



§2.2 Oseleanu ultraproduct

Defn. 2.1

$$M^w := \mathcal{M}^w / \mathcal{I}_w \quad \text{quotient } C^* \text{-alg}$$

$$(x_n)^w := (x_n) + \mathcal{I}_w$$

Thm 2.2 (Sakai, Oseleanu, AH) finite separable non-sep.

(1)  $M^w$  is a  $W^*$ -alg.

(2) For  $\forall \varphi \in M^*$ , let

$$g^w((x_n)^w) := \lim_{n \rightarrow \omega} \varphi(x_n)$$

for  $(x_n)^w \in M^w$

Then  $g^w \in (M^w)^*$

Proof

It will follow from Prop 3.8(2)

So, we treat  $M^w$  as a  $C^*$ -alg in which follows.

\* If  $\varphi \in M^*$  faithful,

then  $\varphi^w \in (M^w)^*$  is a faithful center.

Indeed  $\| (x_n)^w \|_{\varphi^w} = \lim_{n \rightarrow \omega} \| x_n \|_{\varphi}$  by defn. of  $\varphi^w$

~~If  $(x_n)^w = 0$~~

Thus which  $= 0 \iff \| x_n \| \xrightarrow{S} 0$

Then  $x_n x_n^* \xrightarrow{S} 0$  i.e.  $x_n^* \xrightarrow{S} 0$   
 $\downarrow \rho$   
 $\mathcal{M}^w$

$(x_n)^w = 0$

8.

★ If  $M$  is not finite, then

$$\mathcal{M}^b \not\subseteq \mathcal{L}^\infty.$$

(Use the shift operator)

|

## § 2.3 Gireh-Raynaud Ultraproduct

Easy to check

- Ultraproduct Hilbert space

Let  $H$  a Hilb. sp.

$$\mathcal{Q}^\omega(H) := \left\{ (\xi_n) \mid \sup_{n \geq 1} \|\xi_n\| \leq \infty \right\}$$

Banach sp.

$$\mathcal{I}_\omega := \left\{ (\xi_n) \in \mathcal{Q}^\omega \mid \lim_{n \rightarrow \omega} \|\xi_n\| = 0 \right\}$$

closed subsp.

Then define

$$H_\omega := \mathcal{Q}^\omega(H) / \mathcal{I}_\omega$$

which is a quotient Banach sp.

$$(\xi_n)_\omega := (\xi_n) + \mathcal{I}_\omega$$

$$\|(\xi_n)_\omega\| = \lim_{n \rightarrow \omega} \|\xi_n\|$$

quotient norm

$$\cdot \quad \Pi_\omega^H M$$

Let  $M \subset B(H)$ .

Then we have

$$\mathcal{Q}^\omega M \xrightarrow{\Pi_\omega} B(H_\omega)$$

\*-homo.

by

$$\Pi_\omega((\alpha_n)) (\xi_n)_\omega := (\alpha_n \xi_n)_\omega$$

"diagonal action" well-def.

We will write

$$(\alpha_n)_\omega := \Pi_\omega((\alpha_n))$$

$$(x_n)_\omega (\xi_n)_\omega = (x_n \xi_n)_\omega$$

for  $(x_n) \in \ell^\infty$  &  $(\xi_n)_\omega \in H_\omega$

all norm bdd sequences !!

Defn. 2, 3. (Groth-Raynaud ultraproduct)

$$\prod^\omega M := \overline{\{(x_n)_\omega \mid (x_n) \in \ell^\infty\}}^{\sigma-\omega}$$

$\subset B(H_\omega)$

Lem. 2.4.

$$\|(x_n)_\omega\| = \lim_{n \rightarrow \omega} \|x_n\|$$

proof

Let  $(\xi_n)_\omega \in H_\omega$   $\|( \xi_n)_\omega \| \leq 1$ .

WMA  $\|\xi_n\| \leq 1$  for all  $n$ .

Then

$$\begin{aligned} \|(x_n)_\omega (\xi_n)_\omega\| &= \|(x_n \xi_n)_\omega\| \\ &= \lim_{n \rightarrow \omega} \|x_n \xi_n\| \\ &\leq \lim_{n \rightarrow \omega} \|x_n\| \end{aligned}$$

$$\leq \lim_{n \rightarrow \omega} \|x_n\|$$

$\therefore$  LHS  $\leq$  RHS

Next take  $\xi_n \in H$ ,  $\|\xi_n\| \leq 1$ .

s.t.

$$\|x_n\| - \frac{1}{n} \leq \|x_n \xi_n\|$$

Then for  $\xi := (\xi_n)_\omega$ ,

$$\begin{aligned} \|(x_n)_\omega \xi\| &\leq \|(x_n)_\omega\| \\ &= \lim_{n \rightarrow \omega} \|x_n\| \end{aligned}$$

$$\lim_{n \rightarrow \omega} \|x_n \xi_n\| \geq \lim_{n \rightarrow \omega} (\|x_n\| - \frac{1}{n})$$

$\therefore$  LHS  $\geq$  RHS



? Show  $T^k M$  depends on  $H$ .



# Section 3 $M^\omega$ vs $\Pi^\omega M$

Thus we have an isometry

$$\omega_\varphi : L^2(M^\omega, \varphi^\omega) \longrightarrow L^2(M, \varphi)_\omega$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ (x_n)^\omega \xi_{\varphi^\omega} & \longmapsto & (x_n \xi_\varphi)_\omega \end{array}$$

Our main thm in this section states

Thm 3.1

$$M^\omega = \omega_\varphi^* \Pi^\omega M \omega_\varphi$$

★ We can compute  $\omega_\varphi \omega_\varphi^*$  in Section 4 (Thm 4.6)

Recall

$$M^\omega \curvearrowright L^2(M^\omega, \varphi^\omega)$$

the GNS space w.r.t  $\varphi^\omega$

$\varphi \in M^*$  faithful state

and

$$\Pi^\omega M \curvearrowright L^2(M, \varphi)_\omega$$

For  $(x_n) \in \mathcal{M}^\omega \subset \mathcal{B}^\omega$ , we have

$$\| (x_n)^\omega \xi_{\varphi^\omega} \|^2 = \varphi^\omega((x_n^* x_n)^\omega)$$

$$= \lim_{n \rightarrow \infty} \varphi(x_n^* x_n)$$

$$= \lim_{n \rightarrow \infty} \| x_n \xi_\varphi \|^2$$

$$= \| (x_n)_\omega (\xi_\varphi)_\omega \|^2$$

14 §3.1.  $\mathcal{I}_W, \mathcal{I}_W^*, \mathcal{M}_W$

Recall

$$\begin{array}{ccc} \mathcal{I}_W & \xrightarrow{\quad} & \mathcal{I}_W \cap \mathcal{I}_W^* = \mathcal{I}_W \\ \cup & & \downarrow \\ \mathcal{I}_W^* & & \mathcal{I}_W \end{array}$$

$\mathcal{M}_W$  = the multiplier of  $\mathcal{I}_W$  in  $\mathcal{I}_W^*$

Let us study this situation in a general setting.

$A : C^*$ -alg

$L$  : closed left ideal in  $A$  given.

$B := L \cap L^*$  hereditary  $C^*$ -subalg.

$M := \{a \in A \mid aB \subset B, Ba \subset B\}$

(This  $M$  does not mean a VN alg! )  
Forget VN algs for a while.

Thm 3.2.

$L = \{a \in A \mid a^*a \in B\}$

Proof See Dixmier.

Lem 3.3.

(1)  $LM \subset L, ML^* \subset L^*$

(2)  $M \cap (L + L^*) = B.$

□



Proof

(1)  $x \in L, y \in M.$

$$(xy)^* (xy) = \underbrace{y^* x^* x y}_{\substack{\in B \\ \cap \\ B}} \in B$$

(2) STEP I  $M \cap L = B.$

$\supset$  trivial. since  $B \cup B \subset M$

$\subset x \in M \cap L.$

$x^* x \in L^* L \subset B.$

Since  $x \in M$

$x(x^* x) x^* \in B$

$\parallel$

$(x x^*)^2$

Hence

$$x x^* = ((x x^*)^2)^{1/2} \in B.$$

i.e.  $x^* \in L$  by Thm 3.2.

$$\iff x \in L^*$$

Thus

$$x \in L \cap L^* = B.$$

STEP II

$$M \cap (L + L^*) = (M \cap L) + (M \cap L^*).$$

$\supset$  trivial.

$\subset x = y + z^* \in LHS.$

$(y \in L, z \in L)$

Let  $b \in B = L \cap L^*.$

Then

by  $y \in L^* L \subset B$

$$y b = \underbrace{x}_M b - \underbrace{z^*}_M b \in B$$

$$\underbrace{y}_B \in \underbrace{L^* L}_{\cap B}$$

Hence  $y \in M.$  Similarly  $z \in M$



Prop 3.4

$$(1) \mathcal{L}_w \mathcal{M}^w \subset \mathcal{L}_w$$

$$\mathcal{M}^w \mathcal{L}_w^* \subset \mathcal{L}_w^*$$

$$(2) \mathcal{M}^w \cap (\mathcal{L}_w + \mathcal{L}_w^*) = \mathcal{L}_w \quad \square$$

§ 3.2  $M^\omega \cong$  corner of  $\Pi^\omega M$

Defn. 3.5

Recall

$M$ :  $\sigma$ -fm. vN alg.

$\varphi$ : a faithful normal state on  $M$ .

Put

$$H := L^2(M, \varphi).$$

$$\xi_\omega := (\xi_\varphi)_\omega \in H_\omega$$

$$\varphi_\omega(x) := \langle x \xi_\omega, \xi_\omega \rangle$$

for  $x \in \Pi^\omega M$

Then  $\varphi_\omega$  is a normal state

on  $\Pi^\omega M$ .

$P_\varphi$ : = support projection of  $\varphi_\omega$

$$= \text{Proj} : H_\omega \longrightarrow \overline{(\Pi^\omega M)' \xi_\omega}$$

$\in \Pi^\omega M$

Lem. 3.6

For  $\forall x \in \Pi^\omega M$ ,  $\exists (x_n) \in \ell^\infty$ ,

s.t.

$$(1) \quad x \xi_\omega = (x_n)_\omega \xi_\omega$$

$$(2) \quad x^* \xi_\omega = (x_n^*)_\omega \xi_\omega$$

$$x - P_\varphi^\perp x P_\varphi^\perp = (x_n)_\omega - P_\varphi^\perp (x_n)_\omega P_\varphi^\perp$$

Let us prove the above afterwards.

Prop. 3.7.

$\exists$  vector space isomo.

$$\begin{array}{|l} N := \mathbb{T}^w M \\ P := P_\varphi \in N \end{array}$$

Proof

$$(x_n) \in \mathcal{L}_w$$

$$\iff x_n \xrightarrow{S} 0, \quad n \rightarrow \omega$$

$$\iff \lim_{n \rightarrow \omega} \|x_n \xi_\varphi\| = 0 \quad (\text{im } H = L^2(M, \varphi))$$

$$\iff \| (x_n)_\omega \xi_w \| = 0$$

$$(x_n)_\omega \xi_w = 0$$

$$\iff (x_n)_\omega P = 0$$

$$(x_n) \in \mathcal{L}_w^* \iff P (x_n)_\omega = 0.$$

Thus,

$$(x_n) \in \mathcal{L}_w \iff (x_n)_\omega \in P^\dagger N P^\dagger.$$

— (\*)

such that

$$P^\dagger (P N P) = \mathcal{M}^w / \mathcal{I}_w \quad (= M^w)$$

$$P^\dagger (P N P^\dagger) = \mathcal{L}^w / \mathcal{I}_w$$

$$P^{-1} (P^\dagger N P) = \mathcal{L}^{w*} / \mathcal{I}_w.$$

In particular,

$$\mathcal{L}^\omega = \mathcal{M}^w + \mathcal{L}_w + \mathcal{L}_w^*$$

□

Let us put

$$\rho \left( \begin{pmatrix} (x_n) + \mathcal{I}_w \\ \mathcal{I}_w \end{pmatrix} \right) := \begin{pmatrix} (x_n)_w - P^T (x_n)_w P^T \\ \mathcal{I}_w / \mathcal{I}_w \end{pmatrix} \in \text{PNP} \oplus \text{PNP}^T \oplus P^T \text{NP}.$$

which is well-defined:

By (\*),  $\rho$  is injective.

By Lem. 3.6 (2),

$$\rho: \mathcal{L}^\infty / \mathcal{I}_w \longrightarrow \text{PNP} \oplus \text{PNP}^T \oplus P^T \text{NP}$$

is surjective.

Then

$$(x_n) + \mathcal{I}_w \in \rho^{-1}(\text{PNP}^T)$$

since  $P^T = 0$

$$\Leftrightarrow (x_n)_w - P^T (x_n)_w P^T = P (x_n)_w P^T$$

$$\Leftrightarrow (x_n)_w = (x_n)_w P^T$$

$$\Leftrightarrow (x_n)_w P = 0$$

$$\Leftrightarrow (x_n) \in \mathcal{L}_w$$

Thus,

$$\rho^{-1}(\text{PNP}^T) = \mathcal{L}_w / \mathcal{I}_w$$

$$\rho^{-1}(P^T \text{NP}) = \mathcal{L}_w^* / \mathcal{I}_w$$

We will show

$$\rho^{-1}(\text{PNP}) = \mathcal{M}_w / \mathcal{I}_w.$$

" $\subset$ "

$$(x_n) + \mathcal{I}_w \in \rho^{-1}(\text{PNP})$$

Let  $(y_n) \in \mathcal{L}_w$

i.e.  $(y_n)_w \in P^T \text{NP}^T$  by (\*).

$$P((y_n, x_n) + I_w)$$

$$= (y_n, x_n)_w - P^T(y_n, x_n)_w P^T$$

$$= (y_n)_w \left( (x_n)_w - P^T(x_n)_w P^T \right)$$

$$\stackrel{P^T N P^T}{=} P(x) \in PNP$$

= 0

$\rho$  injective  $\implies (y_n, x_n) \in I_w$

Thus  $(x_n) \in \mathcal{M}^w$

" "

Let  $(x_n) \in \mathcal{M}^w$

$$\rho((x_n) + I_w) = a + b + c$$

PNP   PNP<sup>T</sup>   PNP

$$= \rho((\hat{a}_n) + (b_n) + (c_n) + I_w)$$

By the proof of " $\subset$ "  $\rightarrow$   $\mathcal{M}^w$   $\mathcal{I}_w$   $\mathcal{I}_w^*$

Since  $\rho$  injective,

$$(x_n) - (a_n) \in (b_n) + (c_n) + I_w$$

$$\mathcal{M}^w \subset \mathcal{I}_w + \mathcal{I}_w^* + \mathcal{I}_w$$

$$\subset \mathcal{I}_w + \mathcal{I}_w^*$$

Thus

$$(x_n) - (a_n) \in \mathcal{M}^w \cap (\mathcal{I}_w + \mathcal{I}_w^*)$$

$$\rightarrow \mathcal{I}_w$$

by Prop. 3.4(2).



Thus

$$\rho((x_n) + I_w) = \rho((a_n) + I_w) = a$$

Prop 3.8

(1)  $(x_n) \in \mathcal{SM}^w \iff P_\varphi(x_n)_w = (x_n)_w P_\varphi$

$\iff (x_n)_w = P(x_n)_w P + P^T(x_n)_w P^T$   
 $\iff (x_n)_w P = P(x_n)_w$

(2)  $\rho|_{M^w} : M^w \longrightarrow P_\varphi(\prod M)_P$  is

(2) By (1),

a ~~W~~ <sup>\*</sup>alg isomorphism.  $(x_n)^w \mapsto (x_n)_w P_\varphi$   
 $\rho((x_n)^w) = (x_n)_w - P^T(x_n)_w P^T = (x_n)_w P$

In particular,  $M^w$  is a  $W^*$ -alg. Thm 2.2(1)

Thus,  $\rho|_{M^w}$  is a  $*$ -homo.

Proof  
 (1) By the previous prop, injectivity & surjectivity follows from the prev. prop. □

$(x_n) + I_w \in \mathcal{SM}^w / I_w$

$\iff \rho((x_n) + I_w) \in PNP$

$\iff (x_n)_w - P^T(x_n)_w P^T = P(x_n)_w P$

$\langle P(x_n)^w \xi_w, \xi_w \rangle = \langle (x_n)_w P \xi_w, \xi_w \rangle$   
 $= \lim_{n \rightarrow \infty} \langle x_n \xi_\varphi, \xi_\varphi \rangle$   
 $= \lim_{n \rightarrow \infty} \varphi(x_n)$   
 $\stackrel{\text{Thm 2.2(2)}}{\varphi^w \in M_*} \longleftarrow = \varphi^w((x_n)^w)$

Proof of Thm 3.1

Recall

$$\begin{array}{ccc} \tau_{\omega\varphi} : L^2(M^{\omega}, \varphi^{\omega}) & \longrightarrow & L^2(M, \varphi)_{\omega} \\ \downarrow & & \downarrow \\ (\alpha_n)^{\omega} \xi_{\varphi^{\omega}} & \longmapsto & (\alpha_n \xi_{\varphi})_{\omega} \end{array}$$

Let

$$N := \Pi^{\omega} M$$

$$\xi_{\omega} := (\xi_{\varphi})_{\omega}$$

$$P := P_{\varphi}$$

$$\tau_{\omega} := \tau_{\omega\varphi}$$

Want to show

$$\tau_{\omega}^* N \tau_{\omega} = M^{\omega}$$

" $\supset$ " Let

$$(\alpha_n) \in \mathcal{M}^{\omega} \quad \& \quad (y_n)^{\omega} \in M^{\omega}$$

Then

$$\begin{aligned} & (\alpha_n)_{\omega} \tau_{\omega} (y_n)^{\omega} \xi_{\varphi^{\omega}} \\ &= (\alpha_n)_{\omega} (y_n \xi_{\varphi})_{\omega} \\ &= (\alpha_n y_n \xi_{\varphi})_{\omega} \\ &= \tau_{\omega} (\alpha_n)^{\omega} (y_n)^{\omega} \xi_{\varphi^{\omega}} \end{aligned}$$

Hence For  $(\alpha_n) \in \mathcal{M}^{\omega}$  (not  $\mathcal{L}^{\infty}$ ).

$$\begin{array}{c} (\alpha_n)_{\omega} \tau_{\omega} \\ \cap \\ \tau_{\omega} (\alpha_n)^{\omega} \end{array} = \tau_{\omega} (\alpha_n)^{\omega}$$

$N$

and  $\tau_{\omega}^* (\alpha_n)^{\omega} \tau_{\omega} = (\alpha_n)^{\omega}$  — (X)

┘



"C"

$$N = \overline{\{(a_n)_w \mid (a_n) \in \mathcal{L}^{\infty}\}} \stackrel{\leftarrow w}{\leftarrow}$$

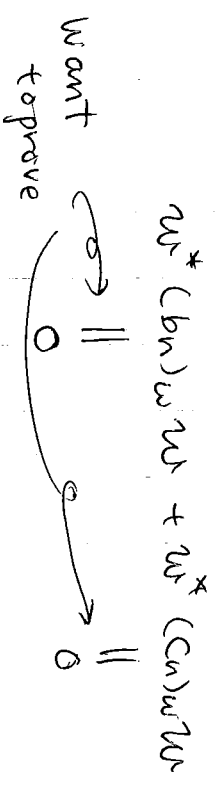
Let  $(a_n) \in \mathcal{L}^{\infty}$ .

Then by Prop. 3.17,

$$(a_n)_w = (a_n)_w + (b_n)_w + (c_n)_w$$

Thus,

$$w^*(a_n)_w w = \underbrace{w^*(a_n)_w w}_w = (a_n)_w \in M_w$$



Let  $(b_n)_w \in M_w$

Then

$$(b_n)_w w (y_n)_w \xi_{p,w}$$

$$= \underbrace{(b_n y_n)_w}_w \xi_{p,w}$$

$$(b_n y_n \xi_{p,w})_w$$

$$\mathcal{L}_w \mathcal{M}_w \rightsquigarrow b_n y_n \in \mathcal{L}_w$$

(by Lem 3.3 (1))

$$= 0$$

Hence  $(b_n)_w w = 0$



For  $\forall (a_n) \in \mathcal{L}^\infty$ ,

$\exists (b_n) \in \mathcal{M}^w, (c_n) \in \mathcal{L}^w, (d_n) \in \mathcal{L}^w^*$

s.t.

(1)  $a_n = b_n + c_n + d_n \quad \forall n$

$(b_n)$  is unique up to  $\mathcal{L}^w$

(2)  $\| (b_n)^w \| \leq \| (a_n)^w \|$

$= \lim_{n \rightarrow \infty} \| a_n \|$



By Prop 3.4(2),  $(b_n)$  is unique up to  $\mathcal{L}^w$ .

Since

$\rho|_{\mathcal{M}^w} : \mathcal{M}^w \xrightarrow{\sim} PNP$

$(x_n)^w \mapsto (x_n)^w_P,$

$\| (b_n)^w \| = \| \rho((b_n)^w) \|$

$= \| (b_n)^w_P \|$

$= \| P (b_n)^w_P \|$

$= \| P (a_n)^w_P \|$  by prop 3.7.

$\leq \| (a_n)^w \|$

$= \lim_{n \rightarrow \infty} \| a_n \|$  by Lem 2.4.



Proof.

By Prop 3.7,

$\mathcal{L}^\infty = \mathcal{M}^w + \mathcal{L}^w + \mathcal{L}^w^*$

$(a_n) = (b_n) + (c_n) + (d_n)$

Let  $E \subset H_\omega \oplus H_\omega$  be as follows

$$E := \left\{ (x_n)_\omega \xi_\omega, (x_n^*)_\omega \xi_\omega \mid \sup_{n \geq 1} \|x_n\| \leq 1 \right\}$$

Claim  $E$  is a closed subset.

proof

$$(1, \zeta) \in \overline{E}$$

we can take  $(x_n^k) = (x_1^k, x_2^k, \dots) \in \mathcal{D}^\infty$

such that

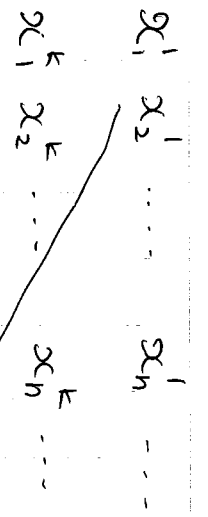
$$\left\{ \begin{aligned} \| (x_n^k)_\omega \xi_\omega - \eta \| &< \frac{1}{2^{k+1}} \\ \| (x_n^k)^* \omega \xi_\omega - \zeta \| &< \frac{1}{2^{k+1}} \end{aligned} \right.$$

for each  $k$ ,

i.e. for big  $k$ 's (close to  $\omega$ )

$$\| x_n^{k+1} \xi_\omega - x_n^k \xi_\omega \| < \frac{1}{2^{k+1}}$$

$$\| x_n^{k+1} \xi_\omega^* - x_n^k \xi_\omega^* \| < \frac{1}{2^{k+1}}$$



Take  $x_n^k$  diagonally

Then we obtain

$$(x_n)_\omega \xi_\omega = \eta$$

$$(x_n^*)_\omega \xi_\omega = \zeta$$

Claim

(1) Let  $x \in N (= \Pi^u M)$

By Kaplanski,  $\exists$  net  $(x_n^\alpha) \in \mathcal{D}^\infty$

$$\text{s.t. } (x_n^\alpha) \xrightarrow{\delta^*} x$$

$$\| x_n^\alpha \| \leq 1 \forall \alpha$$

$$\therefore (x \xi_\omega, x^* \xi_\omega) \in \overline{E} = E$$

26 (2) Let  $y \in N'$ .

Take  $(x_n)$  for  $x \in (D)$ .

Then

$$x y \xi_w = y x \xi_w$$

$$= y (x_n)_w \xi_w$$

$$= (x_n)_w y \xi_w$$

$$\rightsquigarrow x p = (x_n)_w p$$

similarly

$$x^* p = (x_n^*)_w p$$

i.e.

$$p x = p (x_n)_w$$

$$\rightsquigarrow x =$$

A	B
C	D

$$(x_n)_w =$$

A	B
C	D'



Section 4

Defn. 4.1

(M, H, J, P) standard form of  $\Pi^u_M$

§4.1 Standard form of  $\Pi^u_M$

- $M \cong H$
- $J: H \rightarrow H$  antilinear unitary  $J^2 = 1$
- $P \subset H$  closed convex cone self-dual.

if

- (1)  $JMJ = M'$
- (2)  $J\xi = \xi$  for  $\xi \in P$
- (3)  $\alpha J \alpha J P \subset P \quad \forall \alpha \in M$
- (4)  $J \alpha J = \alpha^*$ ,  $\forall \alpha \in Z(M)$

Prop 4.2 (4) can be dropped.

Proof (Sketch).

We reduce the problem to the case

$\exists \xi \in P$  etc & sep. unit.

Then

$JMJ = M'$   
 $J\xi = \xi$

$\langle \xi, \alpha J \alpha J \xi \rangle \geq 0 \quad \forall \alpha$

(equality  $\iff \alpha = 0$ )

不要

$\rightsquigarrow J = J_\xi$

Anaki's characterization

$\rightsquigarrow J_\xi \alpha J_\xi = \alpha^* \quad \alpha \in Z(M)$

Taniguchi-Takesaki!



About (\*).

$$S_{\xi} = J_{\xi} \Delta_{\xi}^{\frac{1}{2}}$$

Then

$$\langle JS_{\xi} a_{\xi}, a_{\xi} \rangle$$

$$= \langle J a_{JJ}^* a_{\xi}, a_{\xi} \rangle$$

$$= \langle \xi, a J a J \xi \rangle \geq 0. \quad (2), (3)$$

$JS_{\xi}$  is closed operator with core  $M_{\xi}$

which is symmetric & positive.

$$\rightarrow (JS_{\xi}) \subset (JS_{\xi})^*$$

Want to show  $JS_{\xi}$  is self-adj.

$$(JS_{\xi})^* = S_{\xi}^* J$$

By Tomita-Takesaki,

$$D(S_{\xi}^*) \supset M'_{\xi} \stackrel{(1)}{=} JM_{\xi}$$

core,  $D(D_{\xi})$

$$\therefore D((JS_{\xi})^*) \supset M_{\xi}$$

core

□

Now let  $(M, H, J, P)$  standard.

•  $H_\omega := \mathbb{R}P_\omega$  as before.

•  $J_\omega(\xi_n)_\omega := (J\xi_n)_\omega$

•  $P_\omega := \{(\xi_n)_\omega \mid \xi_n \in P\}$

### Thm. 4.3

~~$(\mathbb{R}P_\omega, H_\omega, J_\omega, P_\omega)$~~  is standard.

Trivial

•  $J_\omega \Pi^{\omega} M J_\omega = \Pi^{\omega} M' \subset (\Pi^{\omega} M)'$

•  $J_\omega \xi = \xi \quad \forall \xi \in P_\omega$

•  $\alpha J_\omega \times J_\omega P_\omega \subset P_\omega \quad \forall \alpha \in \mathbb{R}^{\omega} M$

must show that

$P_\omega$  is closed, self-dual, con

•  $(\Pi^{\omega} M)' = \Pi^{\omega} M'$

Proof

•  $P_\omega$  cone, trivial

\* closed?

In general  $X \subset E$  closed set

$(X)_\omega \subset (E)_\omega$

$\nrightarrow$  closed, use the diagonal argument.

• self-dual?

$\xi \in P_\omega^{\circ}$

$\| \xi \| \xi_n \in H$

$\| \eta_n^+ - \eta_n^- + i(\xi_n^+ - \xi_n^-) \|$

•  $\eta_n^+, \xi_n^+ \in P_\omega$

•  $\langle \eta_n^+, \eta_n^- \rangle = 0, \langle \xi_n^+, \xi_n^- \rangle = 0.$

$$0 \leq \langle \xi, (\eta_n^-)_\omega \rangle = \lim_{n \rightarrow \infty} \langle \xi_n, \eta_n^- \rangle$$

$$= - \lim_{n \rightarrow \infty} \| \eta_n^- \|^2 + i \lim_{n \rightarrow \infty} \langle \xi_n^+ - \xi_n^-, \eta_n^- \rangle$$

$\mathbb{R}$        $\mathbb{C}$        $\mathbb{R}$

To prove  $(TT^*M)' = (T^*M)$

$$\therefore (\eta_n^-)_\omega = 0$$

$$0 \leq \langle \xi, (\xi_n^\pm)_\omega \rangle = \lim_{n \rightarrow \infty} \langle \xi_n, (\xi_n^\pm) \rangle$$

$$= \lim_{n \rightarrow \infty} (\langle \xi_n^+, \xi_n^+ \rangle \pm i \| \xi_n^\pm \|^2)$$

$\mathbb{R}$        $\mathbb{R}$

$$\therefore (\xi_n^+)_\omega = 0$$

$$\therefore \xi = (\xi_n^+)_\omega \in \mathcal{P}_\omega$$





Lemma 4.4 ← may not be standard

$$M \subset B(H)$$

$$\forall \xi \in H_\omega, \quad \forall \alpha' \in (\mathbb{T}^\omega M)' \cap H_\omega$$

$$\exists \alpha \in \mathbb{T}^\omega M'$$

$$\text{s.t. } \alpha' \xi = \alpha \xi$$

Proof

$$\text{WMA } \|\alpha'\| = 1$$

$$\text{Put } \eta := \alpha' \xi, \quad \xi = (\xi_n)_\omega, \quad \eta = (\eta_n)_\omega$$

Let

$$\varepsilon_n := \sup \{ |\langle x \eta_n, \eta_n \rangle - \langle x \xi_n, \xi_n \rangle|$$

$$x \in M, \quad 0 \leq x \leq 1 \}$$

Then  $\varepsilon_n \geq 0$ . Since  $0 \in M$ .

By weak compactness of  $\{x \mid 0 \leq x \leq 1\}$ ,

$$\exists x_n \in M \quad \text{s.t.} \quad 0 \leq x_n \leq 1$$

$$\& \quad \varepsilon_n = \langle x_n \eta_n, \eta_n \rangle - \langle x_n \xi_n, \xi_n \rangle.$$

Then

$$\lim_{n \rightarrow \omega} \varepsilon_n = \langle (x_n)_\omega \eta, \eta \rangle - \langle (x_n)_\omega \xi, \xi \rangle$$

$$= \langle (x_n)_\omega \underbrace{(\alpha'^* \alpha' - 1)}_{\leq 0} \xi, \xi \rangle$$

$$\leq 0.$$

Thus

$$\lim_{n \rightarrow \omega} \varepsilon_n = 0.$$

— (\*)

Now put

$$\varphi_n := \omega \eta_n - \omega \xi_n \in M^*.$$

Let

~~$\varphi = 0$~~   
 $\varphi_n = \varphi_n^+ - \varphi_n^-$

be the Jordan decomposition.

$P_n :=$  support proj. of  $\varphi_n^+$

then  $\varphi_n^-(P_n) = 0$ , and.

$$\begin{aligned} \|\varphi_n^+\| &= \varphi_n^+(P_n) = \varphi_n(P_n) \\ &= \omega_{\eta_n}(P_n) - \omega_{\xi_n}(P_n) \\ &\leq \xi_n. \end{aligned}$$

Thus

$$\lim_{n \rightarrow \infty} \|\varphi_n^+\| = 0 \text{ by } (*)$$

Next,

$$\omega_{\eta_n} + \varphi_n^- = \omega_{\xi_n} + \varphi_n^+$$

and

$$\omega_{\eta_n} \leq \omega_{\xi_n} + \varphi_n^+$$

$\nwarrow$  very small as  $n \rightarrow \infty$

Claim.

$M : \forall N$  alg  $\subseteq B(H)$  may not be std.

Suppose for some  $\xi, \eta \in H$  &  $\varphi \in M_*$ ,

$$\omega_{\eta} \leq \omega_{\xi} + \varphi.$$

Then  $\exists \alpha' \in M'$  &  $\zeta \in H$

s.t.

- $\|\alpha'\| \leq 1$
- $\eta = \alpha' \zeta + \zeta$
- $\|\zeta\| \leq \|\varphi\|^{1/2}$



Proof of Claim

Take  $\xi_n \in H$   $n \geq 1$ . s.t.

$$\varphi = \sum_{n=1}^{\infty} \omega \xi_n$$

Let  $\tilde{H} = H \otimes \mathcal{Q}^2(\mathbb{Z} \setminus \{0\})$

$$\tilde{\eta} = \eta \otimes \varepsilon_0$$

$$\tilde{\xi} = \xi \otimes \varepsilon_0 + \sum_{n \geq 1} \xi_n \otimes \varepsilon_n$$

Then

$$\omega \tilde{\eta} \leq \omega \tilde{\xi} \quad \text{on } M \otimes \mathbb{C}$$

Thus by Radon-Nykodim,

$$\exists \eta' \in (M \otimes \mathbb{C})' = M' \otimes B(\mathcal{Q}^2)$$

s.t.

$$\tilde{\eta} = \eta' \tilde{\xi} \quad \& \quad \|\eta'\| \leq 1.$$

| Hence

$$\eta = (\eta'_{00} \ \eta'_{01} \ \dots) \begin{bmatrix} \xi_0 \\ \xi_1 \\ \xi_2 \\ \vdots \end{bmatrix}$$

$$= \eta'_{00} \xi + \sum_{n \geq 1} \eta'_{0n} \xi_n$$

Then  $\|\eta\| \leq 1$ , and

$$\|\xi\| = \left\| \begin{pmatrix} 0 & \eta'_{01} & \eta'_{02} & \dots \end{pmatrix} \begin{bmatrix} 0 \\ \xi_1 \\ \vdots \end{bmatrix} \right\|$$

$$\leq \left\| \begin{pmatrix} 0 & \eta'_{01} & \eta'_{02} & \dots \end{pmatrix} \right\| \sqrt{\sum_{n \geq 1} \|\xi_n\|^2}$$

$$\leq 1 \cdot \|\eta\|^{1/2}$$

(preaim)

By Cauchy,

We have  $\alpha_n' \in M'$  &  $\zeta_n \in H$

s.t.

- $\|\alpha_n'\| \leq 1$
- $\eta_n' = \alpha_n' \zeta_n + \zeta_n$
- $\|\zeta_n\| \leq \|\varphi_n^+\|$

But since

$$\lim_{n \rightarrow \infty} \|\zeta_n\| \leq \lim_{n \rightarrow \infty} \|\varphi_n^+\| = 0,$$

we have  $(\zeta_n)_\omega = 0$ , and

$$\begin{aligned} \eta' &= (\alpha_n' \zeta_n + \zeta_n)_\omega \\ &= (\alpha_n')_\omega \zeta + (\zeta_n)_\omega \\ &= (\alpha_n')_\omega \zeta \end{aligned}$$

∴  
Q



| Thm. 4.5. (Raynaud) (H may not be std)

$$(\Pi^{\omega} M)' = \Pi^{\omega} M' \sim H_{\omega}$$

proof

Let  $\alpha' \in (\Pi^{\omega} M)'$  &  $\zeta_1, \dots, \zeta_m \in H_{\omega}$ .

Naturally

$$(H \otimes \mathbb{C}^m)_{\omega} = H_{\omega} \otimes \mathbb{C}^m$$

$$\left( \sum_{i=1}^m \zeta_i' \otimes \varepsilon_i \right)_{\omega} \leftrightarrow \sum_{i=1}^m (\zeta_i')_{\omega} \otimes \varepsilon_i$$

Then

$$\Pi^{\omega} (M \otimes_{M_m(\mathbb{C})} \mathbb{C}^m) = \Pi^{\omega} M \otimes_{M_m(\mathbb{C})} \mathbb{C}^m$$

Thus

$$\alpha' \otimes 1_{\mathbb{C}^m} \in (\Pi^{\omega} (M \otimes_{M_m(\mathbb{C})} \mathbb{C}^m))'$$

Put

$$\zeta := \sum_{i=1}^m \zeta_i \otimes \varepsilon_i$$

By Lem. 4.4,  $\exists a_{\otimes 1} \in \prod^u (M \otimes M_m(\mathbb{Q}))'$   
 $\parallel$   
 $(M' \otimes \mathbb{Q} I_{Q^m})$

s.t.  $\exists$

$$(a'_{\otimes 1}) \xi = (a_{\otimes 1}) \xi$$

$$\text{i.e. } a' \xi_i = a \xi_i$$



We have completed our proof  
of Thm 4.3.

$\parallel$   
i.e.  $(\prod^u M, H_u, J_u, P_u)$  is standard.



## § 4.2. Standard form of $\mathcal{M}^\omega$

Let  $\varphi \in \mathcal{M}_*^+$  faithful state.

Recall our isometry

$$\begin{aligned} \mathcal{W}_\varphi : L^2(\mathcal{M}^\omega, \varphi^\omega) &\longrightarrow (L^2(\mathcal{M}, \varphi))_\omega \\ \downarrow & \\ (\alpha_n)^\omega \xi_{\varphi^\omega} &\longmapsto (\alpha_n \xi_\varphi)_\omega \end{aligned}$$

We put

$$N := \overline{\Pi^\omega \mathcal{M}}$$

$$H := L^2(\mathcal{M}, \varphi) \text{ std.}$$

$$\xi_\omega := (\xi_\varphi)_\omega$$

$$P := P_\varphi \in N$$

the proj.  $L^2(\mathcal{M}, \varphi)_\omega$   
from  
onto  $[N' \xi_\omega]$ .

$$w := \mathcal{W}_\varphi$$

We will show

$$w w^* = P J_\omega P J_\omega,$$

where

$$J_\omega = (J)_\omega \text{ defined in the prev. §.}$$

$$J_\omega P J_\omega H_\omega = J_\omega P H_\omega$$

$$= J_\omega \overline{N' \xi_\omega}$$

$$= \overline{J_\omega N' J_\omega \xi_\omega}$$

since  $\xi_\omega \in \mathcal{P}_\omega$   
 $\alpha_{-J_\omega} \xi_\omega = J_\omega$

$$= \overline{N \xi_\omega}$$

by Thm 4.5.

Hence

$$P J_\omega P J_\omega H_\omega = P \overline{N \xi_\omega}$$

$$= \overline{P N P \xi_\omega}.$$

On the other hand,

$$\begin{aligned} W W^* H_\omega &= \overline{\text{span}} \{ (x_n)_\omega \xi_\omega \mid (x_n)_\omega \in M_\omega \} \\ &= \overline{\text{span}} \{ (x_n)_\omega P \xi_\omega \mid (x_n)_\omega \in M_\omega \} \\ &= \overline{PNP \xi_\omega} \\ &\text{by Prop. 3.8.} \end{aligned}$$

Hence

$$W W^* = P J P J_\omega =: g$$

Then

$$\begin{aligned} W M_\omega W^* &= W (W^* \Pi_\omega M W) W^* \\ &= g \Pi_\omega M g \end{aligned}$$

$$\simeq P \Pi_\omega M P$$

general fact. ~~P~~-cyclic proj

~~with cyclic vector~~  
in  $P_\omega$

Easy to show proof of Thm 3.1  
 $W (x_n)_\omega W^* = (x_n)_\omega g$  cf. (\*)

Thus we have the following.

Thm. 4.6.

$\varphi \in M_*^+$  faithful state.

$$P_\varphi : L^2(M, \varphi)_\omega \longrightarrow \overline{(\Pi_\omega^L M)_\omega} \xi_\omega \text{ proj}$$

$$g_\varphi := P_\varphi J_\omega P_\varphi J_\omega$$

Then

$$(1) \quad W_\varphi W_\varphi^* = g_\varphi$$

$$(2) \quad W_\varphi M_\omega W_\varphi^* = g_\varphi \Pi_\omega M g_\varphi$$

$$(3) \quad W_\varphi (x_n)_\omega W_\varphi^* = (x_n)_\omega g_\varphi$$

for all  $(x_n)_\omega \in M_\omega$





Cor 4.17.

$\varphi \in M_n^+$  as before.

Then  $M^w \cong q_\varphi T M q_\varphi$ , and

$$(q_\varphi T M q_\varphi, q_\varphi H w, q_\varphi J w q_\varphi, q_\varphi P w)$$

is the standard form of  $M^w$  └

proof

Generally

For  $p \in N$  proj, put  $q_i = P J P J$ .

Then

$$P N P \cong q_i N q_i$$

Q.

$(q_i N q_i, q_i H, q_i J q_i, q_i P)$  is std.





# Section 5 Modular automorphisms

on  $M^w$

## § 5.1. Graph projection onto $G(S_\varphi)$

Let

$$T: H \rightarrow H$$

closed linear

$\neq$

Hilb. sp. /  $\mathbb{C}$  or  $\mathbb{R}$

$$G(T) := \{ (\xi, T\xi) \mid \xi \in D(T) \}$$

$$\subset H \otimes H$$

the graph of  $T$ , closed subsp.

The graph projection

$$P_T: H \otimes H \rightarrow G(T)$$

is given by

$$P_T := \begin{bmatrix} (1 + T^*T)^{-1} & T^*(1 + TT^*)^{-1} \\ T(1 + T^*T)^{-1} & TT^*(1 + TT^*)^{-1} \end{bmatrix}$$

(Proof: Use  $(1 + TT^*)^{-1}T \subset T(1 + T^*T)^{-1}$  domain =  $H$ )

★

$$T: H \rightarrow H \text{ closed}$$

Hilb /  $\mathbb{C}$

$$\rightsquigarrow T: H_{\mathbb{R}} \rightarrow H_{\mathbb{R}} \text{ closed.}$$

$$D_{\mathbb{R}}(T) := D(T)$$

$$\langle \xi, \eta \rangle_{\mathbb{R}} := \operatorname{Re} \langle \xi, \eta \rangle$$

Since

$$\| \xi \|_{\mathbb{R}} = \| \xi \|$$

$$\star D_{\mathbb{R}}(T^*) = D(T^*) \quad \& \quad T^* \alpha_{H_{\mathbb{R}}} = T^* \alpha_{H_{\mathbb{C}}}$$

Let  $\eta \in D_{\mathbb{R}}(T^*)$

$$\Leftrightarrow |\langle T\xi, \eta \rangle_{\mathbb{R}}| \leq C \|\xi\| \quad \forall \xi \in D(T)$$

||

$$|\operatorname{Re} \langle T\xi, \eta \rangle|$$

$$\Leftrightarrow |\langle T\xi, \eta \rangle| \leq C \|\xi\|$$

$$\Leftrightarrow \eta \in D(T^*)$$

Note

$$H \oplus H = G(T) \oplus V G(T^*)$$

$$\downarrow$$

$$V := \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

$\mathbb{C}$  or  $\mathbb{R}$ .

Let

$S_{\varphi} : H_{\varphi} \rightarrow H_{\varphi}$  the sharp operator

$F_{\varphi} : H_{\varphi} \rightarrow H_{\varphi}$  the flat operator

then by Tomita-Takesaki,

$$F_{\varphi} = S_{\varphi}^*$$

which is still valid as a real operator.

□

Then

$$\bullet H \oplus H = G(S_{\varphi}) \oplus_{\mathbb{R}} \mathcal{V} G(F_{\varphi})$$

$$\bullet P_{S_{\varphi}} = \begin{bmatrix} (1 + \Delta_{\varphi})^{-1} & J_{\varphi} \Delta_{\varphi}^{-\frac{1}{2}} (1 + \Delta_{\varphi}^{-1})^{-1} \\ J_{\varphi} \Delta_{\varphi}^{\frac{1}{2}} (1 + \Delta_{\varphi})^{-1} & \Delta_{\varphi} (1 + \Delta_{\varphi})^{-1} \end{bmatrix}$$

# 8.5.2 Main Theorem

Thm 5.1. (Kirchberg, Raymond, AH)

$M$  :  $\sigma$ -fm vN alg.

$\varphi$  : faithful normal state on  $M$

Then

$$\sigma_t^{\varphi^{\omega}} = (\sigma_t^{\varphi})^{\omega} \quad \text{for all } t \in \mathbb{R}$$

i.e.

$$\sigma_t^{\varphi^{\omega}}(x_n)^{\omega} = (\sigma_t^{\varphi}(x_n))^{\omega}$$

for all  $(x_n)^{\omega} \in M^{\omega}$



Proof of Thm 5.1.

Recall

$$\mathcal{M} : L^2(M^{\omega}, \varphi^{\omega}) \longrightarrow L^2(M, \varphi)_{\omega}$$

$$(x_n)^{\omega} \sum_{\varphi^{\omega}} \longmapsto (x_n \sum_{\varphi})_{\omega}$$

$$\parallel (x_n)_{\omega} \sum_{\omega}$$

## NOTATIONS

$$N := \Pi^{\omega} M$$

$$H := L^2(M, \varphi)$$

$$\sum_{\omega} := (\sum_{\varphi})_{\omega}$$

$P$  := the proj  $H_{\omega}$  onto

$$\overline{N \sum_{\omega}}$$

$$q := P J P J$$

4.4: Then we have.

$$\begin{cases} u u^* = g \\ u M^w u^* = g \Pi^w M g \end{cases}$$

by Thm. 4.6.

Let

$$\begin{array}{ccc} \theta: M^w & \longrightarrow & g \Pi^w M g \\ \downarrow & & \downarrow \\ \alpha & \longmapsto & u \alpha u^* \end{array}$$

Then

$$\begin{aligned} \theta((\alpha_n)^w) &= (\alpha_n)_w g \\ &\stackrel{\text{Thm 4.6(3)}}{=} \end{aligned}$$

1

$$g N g \sim g H_w$$

$\downarrow$   
 $\xi_w$  cyclic & separating vector.

Set

$$\mathcal{P} \in (g N g)^* \text{ faithful state.}$$

$$\mathcal{P}(\alpha) := \langle \alpha \xi_w, \xi_w \rangle \text{ for } \alpha \in g N g.$$

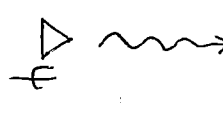
$$\text{Then } \mathcal{P} \circ \theta = g^w.$$

Since

$$\begin{aligned} \mathcal{P} \circ \theta((\alpha_n)^w) &= \mathcal{P}((\alpha_n)_w g) \\ &= \langle (\alpha_n)_w \xi_w, \xi_w \rangle \\ &= g^w((\alpha_n)^w). \end{aligned}$$

Hence we have.

$$\sigma_t^\psi \circ \theta = \theta \circ \sigma_t^\psi \quad \text{on } M^\omega$$

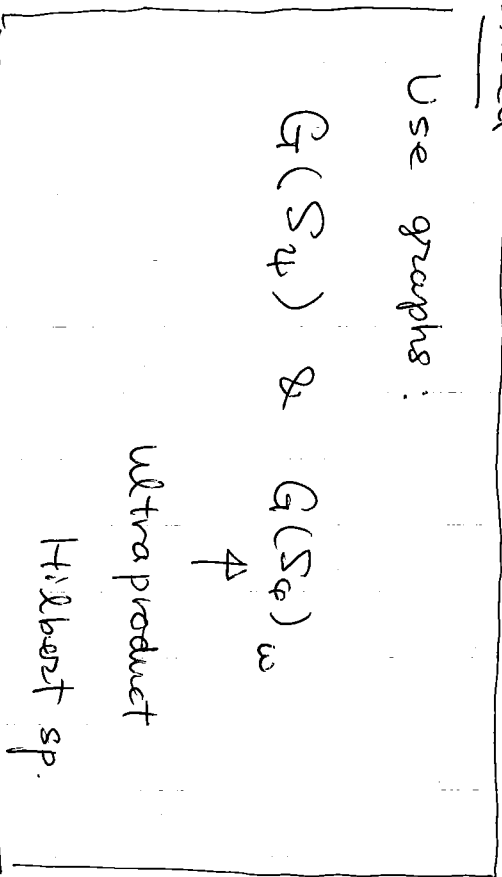


need to compare

$\Delta_\psi^{1/2}$  with  $(\Delta_\psi^{1/2})^\omega$

Idea

Use graphs:



First of all,

$$G(S_\psi) \oplus_{\mathbb{R}} V G(F_\psi) = \mathfrak{K} H \oplus_{\mathbb{R}} \mathfrak{K} H \oplus_{\mathbb{R}} \mathfrak{K} H \quad \text{--- (1)}$$

Next,

$$G(S_\psi) \oplus_{\mathbb{R}} V G(F_\psi) = H \oplus H$$

↓ ultra-product of "real" Hilb. sp.

$$G(S_\psi)^\omega \oplus_{\mathbb{R}} V G(F_\psi)^\omega = H^\omega \oplus_{\mathbb{R}} H^\omega \quad \text{--- (2)}$$

Now

$$\theta(M^\omega) \xi_\omega = \mathfrak{K} N \mathfrak{K} \xi_\omega \subset \overset{\text{core}}{D(S_\psi)}$$

$$\mathfrak{K} N' \mathfrak{K} \xi_\omega \subset \overset{\text{core}}{D(F_\psi)}$$

$$S_{\mathbb{Z}} \theta(\alpha_n)_{\omega} \xi_{\omega} = \theta(\alpha_n^*)_{\omega} \xi_{\omega}$$

$$= (\alpha_n^*)_{\omega} \xi_{\omega}$$

$$= (\alpha_n^* \xi_{\varphi})_{\omega}$$

$$= (S_{\varphi} \alpha_n \xi_{\varphi})_{\omega}$$

which implies

$$G(S_{\mathbb{Z}}) \subset G(S_{\varphi})_{\omega} \cap (gH_{\omega} \oplus_{\mathbb{R}} gH_{\omega})$$

— (3)

On  $F_{\mathbb{Z}}$ ,

$$\pi^{\omega} M' = (\pi^{\omega} M)' = N'$$

Let us replace  $M$  with  $M'$  in

our discussion.

| Then for  $(\alpha_n) \in \mathcal{M}^{\omega}(M)$ ,

$(\alpha_n)_{\omega}$  commutes with

$$P' : H_{\omega} \rightarrow [(\pi^{\omega} M)']_{\omega}$$

|| Thm 4.5

$$[ \pi^{\omega} M \xi_{\omega} ]$$

$$P' = J_{\omega} P J_{\omega}$$

by Prop 3.8 (1).

so

$$g' := P' J_{\omega} \beta J_{\omega} = J_{\omega} P J_{\omega} P = g.$$

And,

$$\theta' : (M)_{\omega} \xrightarrow{\sim} gN'g$$

$$\downarrow \quad \downarrow$$

$$(\alpha_n)_{\omega} \mapsto (\alpha_n)_{\omega} g$$

by Thm 4.6 (3).

Thus

$$F_{\mathbb{Z}} \theta'(\alpha_n)_{\omega} \xi_{\omega} = \theta'(\alpha_n^*)_{\omega} \xi_{\omega}$$

$$= (\alpha_n^* \xi_{\varphi})_{\omega}$$

$$= (F_{\mathbb{Z}} \alpha_n \xi_{\varphi})_{\omega}$$



Therefore

$$G(F_{\mathcal{P}}) \subset G(F_{\mathcal{Q}})_{\omega} \cap (gH_{\omega} \oplus_{\mathbb{R}} gH_{\omega}),$$

and

$$VG(F_{\mathcal{Q}}) \subset VG(F_{\mathcal{Q}})_{\omega} \cap (gH_{\omega} \oplus_{\mathbb{R}} gH_{\omega})$$

— (4)

We will express this situation

in terms of projections :

$$E : H_{\omega} \oplus_{\mathbb{R}} H_{\omega} \longrightarrow G(S_{\mathcal{Q}})_{\omega}$$

$$F : H_{\omega} \oplus_{\mathbb{R}} H_{\omega} \longrightarrow gH_{\omega} \oplus_{\mathbb{R}} gH_{\omega}$$

$$P : H_{\omega} \oplus_{\mathbb{R}} H_{\omega} \longrightarrow G(S_{\mathcal{P}})$$

$$Q : H_{\omega} \oplus_{\mathbb{R}} H_{\omega} \longrightarrow VG(F_{\mathcal{Q}})$$

Then

$$\textcircled{1} \rightsquigarrow P + Q = F$$

$$\textcircled{2} \rightsquigarrow E^{\perp} : H_{\omega} \oplus_{\mathbb{R}} H_{\omega} \longrightarrow VG(F_{\mathcal{Q}})_{\omega}$$

$$\textcircled{3} \rightsquigarrow P \equiv E \wedge F$$

$$\textcircled{4} \rightsquigarrow Q \leq E^{\perp} \wedge F$$

Claim 1.

$E$  &  $F$  commute &  $P = EF$ ,

$$Q = E^{\perp} F$$

Let us prove the above afterwards.

48 Recall the graph prof. of Sp in §5.1.

By Claim 1,

Then

$$E = \begin{pmatrix} \begin{matrix} a \\ \vdots \\ 1 \\ \vdots \\ c \end{matrix} & \begin{matrix} \\ \\ (1+\Delta\varphi)^{-1} \\ \\ \end{matrix} & \begin{matrix} \\ \\ \Delta\varphi^{-\frac{1}{2}}(1+\Delta\varphi^{-1})^{-1} \\ \\ \end{matrix} & \begin{matrix} \\ \\ \Delta\varphi^{-1}(1+\Delta\varphi^{-1})^{-1} \\ \\ \end{matrix} \\ \begin{matrix} \\ \\ \vdots \\ \\ d \end{matrix} & \begin{matrix} \\ \\ \vdots \\ \\ \end{matrix} & \begin{matrix} \\ \\ \vdots \\ \\ \end{matrix} & \begin{matrix} \\ \\ \vdots \\ \\ \end{matrix} \end{pmatrix}$$

$$= \begin{bmatrix} a_w & b_w \\ c_w & d_w \end{bmatrix} \curvearrowright \text{Hw} \oplus_{\mathbb{R}} \text{Hw}$$

$$P = \begin{bmatrix} (1+\Delta\varphi)^{-1} & \Delta\varphi^{-\frac{1}{2}}(1+\Delta\varphi^{-1})^{-1} \\ \Delta\varphi^{-\frac{1}{2}}(1+\Delta\varphi)^{-1} & \Delta\varphi^{-1}(1+\Delta\varphi^{-1})^{-1} \end{bmatrix}$$

$$F = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$$

$$g A_w = a_w g = \begin{pmatrix} 1 \\ \vdots \\ 1 \\ \vdots \end{pmatrix} (1+\Delta\varphi)^{-1}$$

Of course this 1 means  $g$ ,

that is,

$$(1+\Delta\varphi)^{-1} = \begin{pmatrix} 1 \\ \vdots \\ 1 \\ \vdots \end{pmatrix} a_w g$$

Claim 2.

$$\Delta\varphi^{it} = (\Delta\varphi^{it})_w g$$

Proof of Thm 5.1

Then for  $x = (x_n)_w \in M_w$ ,

$$\begin{aligned} \theta(\sigma_t^{g^w}(x)) &= \sigma_t^u(\theta(x)) \\ &= \Delta\varphi^{it}(x_n)_w g \Delta\varphi^{-it} \\ &= (\Delta\varphi^{it} x_n \Delta\varphi^{-it})_w g \end{aligned}$$

—

$$= \theta (\sum_{i=1}^n c_{xi})^n$$

We will prove Claim 1 & 2  
in what follows.

Proof of Claim 1

$$E^T N F + E^T N F \leq F$$

$$P + Q = F$$

Hence  $P = E^T N F$ ,  $Q = E^T N F$

$$E^T N F + E^T N F = F$$

Note

$$E^T N F \leq F$$

$$E^T N F \leq F + E^T N F$$

addition

$$F + F + E^T N F = F$$



$$\sim E^T N F = F + E^T N F \quad \text{--- ①} \quad E^T N F = F + E^T N F$$

Then  $(E^T N F)^2 = E^T N F E^T N F E$   
 $= E^T N F$  projection.

Since  $E^T N F \geq 0$ ,  $E^T N F E$  itself proj.

$$\& E^T N F E = E^T N F \quad \text{--- ②}$$

Then

$$(E^T N F - E^T N F E)^* (E^T N F - E^T N F E)$$

$$= F + E^T N F - F + E^T N F E - E^T N F E + E^T N F E + E^T N F E$$

$$\quad \text{--- ①} \quad \text{--- ②} \quad \text{--- ②} \quad \text{--- ②}$$

$$= 0$$



50 On Claim 2.

We have .

$$(1 + \Delta \varphi)^{-1} = (1 + \Delta \varphi)^{-1} \omega \varrho$$

Let

$$a := (1 + \Delta \varphi)^{-1}$$

Then

- $0 \leq a \leq 1$
- $\text{SP}_p(a) \not\equiv 0, 1 \iff \text{SP}(\Delta \varphi) \not\equiv 0$ .  
point spectrum.
- $\text{SP}_p(\omega \varrho) \not\equiv 0, 1 \iff \text{SP}(\Delta \varphi) \not\equiv 0$ .

Claim 2 follows from the following Lemma.

by putting  $g(x) := (x^{-1} - 1)^{1/t}$ .

Lemma 5.2.

Let  $g: [0, 1] \rightarrow \mathbb{C}$  function, bounded

s.t.

$g|_{(0,1)}$  is continuous.

Then

$$(1) \quad (g(a)) \omega \varrho \text{ commutes with } \varrho$$

$$(2) \quad (g(a)) \omega \varrho = g(\omega \varrho)$$

proof

First, note that

$$\text{If } R \in B(H) \text{ s.t. } 0 \leq R \leq 1$$

satisfies  $0, 1 \notin \text{SP}_p(R)$ ,

then  $g(R)$  is not depending on

$$g(0) \text{ \& } g(1)$$



because

$$g(t) = g_{(0,1)} \upharpoonright_{p_0, \frac{1}{2}}(t) + g(t) \upharpoonright_{(0,1)}(t) + g_{(1)} \upharpoonright_{\frac{1}{2}, 1}(t)$$

$$K = \upharpoonright_{(0,1)}(a_\omega) K$$

$$= \bigvee_{0 < \varepsilon < \frac{1}{2}} K_\varepsilon$$

where

$$K_\varepsilon := \upharpoonright_{(\varepsilon, 1-\varepsilon)}(a_\omega) K$$

For a polynomial  $f$ .

$$(f(a_\omega))_\omega = f(a_\omega)$$

Then Weierstraß theorem states

$$(f(a_\omega))_\omega = (f(a_\omega)) - \varepsilon$$

for all  $f \in C[0,1]$ .

Let

$$K := g \upharpoonright_\omega \hookrightarrow a_\omega \text{ inv.}$$

Since  $0,1 \notin \text{Sp}_p(a_\omega g)$ .

Want to show

$$(g(a_\omega))_\omega = g(a_\omega) \text{ on } K_\varepsilon$$

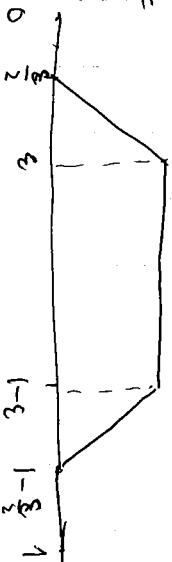
for all  $\varepsilon$ .

Fix  $\varepsilon$ .

Take  $h \in C[0,1]$  so that

$$h = g \text{ on } \left[ \frac{\varepsilon}{2}, 1 - \frac{\varepsilon}{2} \right].$$

Let  $f_\varepsilon =$



52 Then for  $\xi \in K_\varepsilon$ ,

$$\begin{aligned}
 g(a_\omega) \xi &= g(a_\omega) \uparrow_{(c, 1-\varepsilon)}(a_\omega) \xi \\
 &= h(a_\omega) \uparrow_{(c, 1-\varepsilon)}(a_\omega) \xi \\
 &= (h(a))_\omega \xi \quad \text{--- ①}
 \end{aligned}$$

$$\begin{aligned}
 f_\varepsilon(a_\omega) \xi &= f_\varepsilon(a_\omega) \uparrow_{(c, 1-\varepsilon)}(a_\omega) \xi \\
 &= \uparrow_{(c, 1-\varepsilon)}(a_\omega) \xi \\
 &= \xi
 \end{aligned}$$

Thus

$$\begin{aligned}
 (h(a))_\omega \xi &= (h(a))_\omega f_\varepsilon(a_\omega) \xi \\
 &= (h(a))_\omega (f_\varepsilon(a))_\omega \xi \\
 &= (h(a) f_\varepsilon(a))_\omega \xi
 \end{aligned}$$

$$\begin{aligned}
 &= (g(a) f_\varepsilon(a))_\omega \xi \\
 &= (g(a))_\omega (f_\varepsilon(a))_\omega \xi \\
 &= (g(a))_\omega \xi \quad \text{--- ②}
 \end{aligned}$$

From ① & ②, we are done

Cor 5.3. (Spectral characterisation of  $\mathcal{M}^\omega$ )

TFAE

(1)  $(x_n) \in \mathcal{M}^\omega$

(2)  $\forall \varepsilon > 0, \exists \delta > 0, (y_n) \in \mathcal{M}^\omega$

s.t.

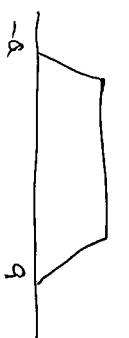
(1)  $\lim_{n \rightarrow \infty} \|x_n - y_n\|_\varphi^\# < \varepsilon$

(2)  $y_n \in M(\sigma^\varphi, C-\alpha, \alpha \mathbb{J}) \forall n$ .

(3)  $(x_n) \in \mathcal{D}^\infty$  is  $\sigma^\varphi$ -equicont.

Proof Apply Lem 5.2 to  $g$  with

$$\hat{g} =$$



See [AHJ].

See Masuda-Tominaga Rokhlin flow & Classification of discrete kac

Section 6, Ueber's problem

Defn. 6.1 (Connes)

$$\mathcal{M}_w := \{ (x_n) \in \ell^\infty \mid \lim_{n \rightarrow \infty} \|x_n \varphi - \varphi x_n\| = 0 \}$$

unital  $C^*$ -alg

$$\forall \varphi \in M^*$$

$M_w := \mathcal{M}_w / \mathcal{I}_w$   
the asymptotic centralizer of  $M$ .

Then

$$\mathcal{I}_w \subset \mathcal{M}_w \subset \mathcal{M}^w$$

Cauchy-Schwarz

\* Useful characterization. (Araki-Powers-Størmer)

$$(x_n) \in \mathcal{M}_w \iff \lim_{n \rightarrow \infty} \|x_n \xi - \xi x_n\| = 0 \quad \forall \xi \in H$$

where

$$\xi a := J a^* J \xi$$

If  $(y_n) \in \mathcal{I}_w$

$$\|y_n x_n\|_\varphi^2 = \varphi(x_n^* y_n y_n x_n)$$

$$= x_n \varphi(x_n^* y_n^* y_n)$$

bdd

$$= [x_n, \varphi] \left( \begin{matrix} x_n^* & y_n^* \\ y_n & x_n \end{matrix} \right)$$

$$\begin{matrix} \swarrow + \\ \varphi \left( \begin{matrix} x_n & x_n^* & y_n & y_n^* \\ x_n & x_n^* & y_n & y_n^* \end{matrix} \right) \\ \searrow \text{bdd} \end{matrix}$$

Cauchy

-Schwarz

\*  $M_w$  is a  $W^*$ -alg. follows from Thm 6.5

$$M_w \subset M^w$$

$M = \{ (x_n) \mid x \in M \}$   
constant sequence.

Leem 6.2

$M_w \subset M^n M_w$

└

proof

$(x_n)^m \in M_w, y \in M.$

$x_n y - y x_n \xrightarrow[n \rightarrow \infty]{S^t} 0 ?$

$(x_n y - y x_n) \xi = x_n y \xi - y x_n \xi$

$\sim (y \xi) x_n - y \xi x_n = 0$

□

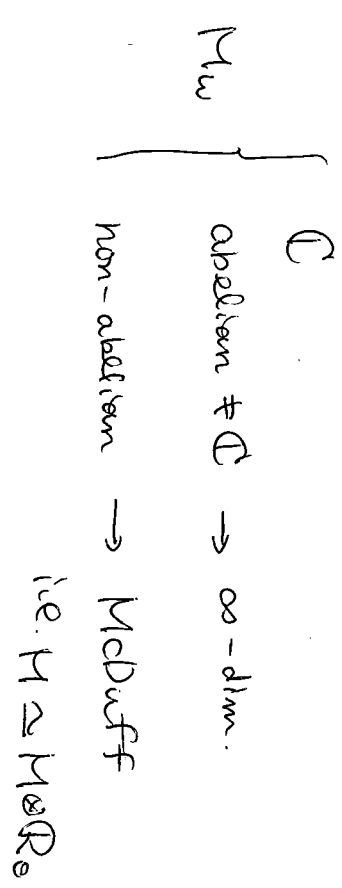
$Z(M) \subset M_w.$

Defn. 1.3

M full factor

$\Leftrightarrow M_w = \mathbb{C}.$

\* 3 types of factors.



Problem (Ueda)

$M$  full  $\Leftrightarrow M^n M_w = \mathbb{C}$

$\Leftrightarrow$  trivial.

└



~~Exp~~

Idea. Show

$$M_w = (M' \cap M^w)_{\varphi_w}$$

$$M_{\varphi} := \{x \mid x\varphi = \varphi x\}$$

$$= \{x \mid \varphi_{t(x)}^{\varphi} = x\}$$

centralizer

$C$  is trivial.

Indeed  $(x_n)^w \in M_w$ .

$$(y_n)^w \in M^w.$$

$$((x_n)^w \varphi^w)((y_n)^w) = \varphi^w((y_n x_n)^w)$$

$$\stackrel{n \rightarrow \infty}{=} \lim_{n \rightarrow \infty} \varphi(y_n x_n)$$

$$= \lim_{n \rightarrow \infty} \varphi(x_n y_n)$$

$$= (\varphi^w(x_n)^w)((y_n)^w)$$

Lem. 6.4

For  $(x_n), (y_n) \in M^w$ ,

$$\|x_n)^w \varphi^w - \varphi^w(y_n)^w\| = \lim_{n \rightarrow \infty} \|x_n \varphi - \varphi x_n\|_{M^*}$$

Thm. 6.45

$$M_w = (M' \cap M^w)_{\varphi_w}$$

proof

$C$  is ok

$$\Rightarrow (x_n)^w \in (M' \cap M^w)_{\varphi_w}$$

By Lem ,

$$\lim_{n \rightarrow \infty} \|x_n \varphi - \varphi x_n\| = 0.$$

Then for  $y \in M$

$$\|x_n y \varphi - y \varphi x_n\|$$

$$\sim \|y x_n \varphi - y x_n \varphi\| = 0$$

$\{y \varphi \mid y \in M\} \subset M^*$

norm dense

5.6 Proof of Lem.

$$C_1 := \| (x_n)^w \varphi^w - \varphi^w (y_n)^w \|$$

$$C_2 := \lim_{n \rightarrow \infty} \| x_n \varphi - \varphi y_n \|$$

Then  $\exists a \in M^w$   $\|a\| \leq 1$

s.t.

$$C_1 - \varepsilon < | \langle (x_n)^w \varphi^w - \varphi^w (y_n)^w, a \rangle |$$

Since  $M^w = \mathcal{M}^w / \mathcal{I}^w$ ,

$\exists (a_n) \in \mathcal{M}^w$  s.t.,  $\|(a_n)\| = \sup_n \|a_n\| < 1$

s.t.  $(a_n)^w = a$

Then

$$C_1 - \varepsilon < \lim_{n \rightarrow \infty} | \langle x_n \varphi - \varphi y_n, (a_n) \rangle |$$

$$\leq C_2.$$

Thus  $C_1 \leq C_2$

Take  $a_n \in M$   $\|a_n\| \leq 1$ .

$$\| x_n \varphi - \varphi y_n \| - \frac{1}{n} \leq | \langle x_n \varphi - \varphi y_n, a_n \rangle |$$

By Prop,  $\exists (b_n) \in \mathcal{M}^w$ ,  $(c_n) \in \mathcal{L}_w$ ,  $(d_n) \in \mathcal{L}_w^*$

s.t.

$$a_n = b_n + c_n + d_n$$

$$\| (b_n)^w \| \leq \lim_{n \rightarrow \infty} \|a_n\| \leq 1$$

Then

$$(x_n \varphi - \varphi y_n)(a_n)$$

$$= (x_n \varphi - \varphi y_n)(b_n) +$$

$$(x_n \varphi - \varphi y_n)(c_n)$$

+

$$(x_n \varphi - \varphi y_n)(d_n)$$

$$\rightarrow 0 \quad (c_n) \in \mathcal{L}_w$$

$$\downarrow \quad (d_n) \in \mathcal{L}_w^*$$

$$x_n \varphi_n (c_n) = \varphi_n (c_n x_n)$$

$$\left( c_n x_n \right) \in I_n \implies M_n c_n$$

$$\varphi y_n (c_n) \longrightarrow 0$$

Hence

$$\lim_{n \rightarrow \infty} | (x_n \varphi - \varphi y_n) (c_n) | = \lim_{n \rightarrow \infty} | (x_n \varphi - \varphi y_n) (c_n) |$$

$\forall$

$$M' \cap M'' = \mathbb{D} \text{ or type III, factor.}$$

$$\lim_{n \rightarrow \infty} \| x_n \varphi - \varphi y_n \|$$

$$\lim_{n \rightarrow \infty} \| x_n \varphi - \varphi y_n \| \| b_n \|$$

$\forall \forall$

$$C_2 \parallel C_1$$

Hence  $C_1 \supseteq C_2$

□

So, if  $M$  full,

$$(M' \cap M'')_{q^w} = \mathbb{D}$$

Recall the general fact:

$$N_U = \mathbb{D} \implies N = \mathbb{D} \text{ or type III, factor.}$$

Therefore

want to show  $\downarrow$

$M$  non-full

i.e.

$\exists$  non-trivial

$\omega$ -central seq.

Lem. 6.6

Let  $N \equiv \text{III}_1$  factor.  $\varphi \in N'_\#$  faithful state

$0 < \lambda < 1$ .

$\forall \epsilon > 0$ .  $\exists \{f_{ij}\}_{i,j=1}^2$  : matrix units.

s.t.

$$\left. \begin{aligned} \|f_{11}\varphi - \varphi f_{11}\| &< \epsilon \\ \|f_{12}\varphi - \lambda^* \varphi f_{12}\| &< \epsilon \\ \|f_{21}\varphi - \lambda \varphi f_{21}\| &< \epsilon \end{aligned} \right\} (*)$$

Sketch of proof

$M_2 \hookrightarrow N$ .

$N \xrightarrow{\alpha} M_2(\mathbb{C}) \otimes (M_2 \cap N)$

$\psi(\alpha) := \left( \text{Tr} \begin{bmatrix} \frac{1}{\epsilon} & 0 \\ 0 & \frac{1}{\epsilon} \end{bmatrix} \otimes \chi \right) (\alpha)$   
power states      same state

Cones - Størmer transitivity.

$\exists u \in U(N)$

$\varphi \sim_u u \varphi u^*$

$f_{ij} := u \alpha^{-1}(e_{ij} \otimes 1) u^*$

rotate  $M_2(\mathbb{C})$ .

Now suppose  $M' \cap M^w \equiv \text{III}_1$  factor.

$N$

$0 < \lambda < 1$  fixed.

By Lemma 6.6,

$\forall \epsilon > 0$ .  $\exists f_{ij} \in N$  matrix units

s.t. (\*) holds for  $\varphi^w$

$f_{ii}$  almost commutes  $\varphi^w$ .

$\varphi^w(f_{11}) = \varphi^w(f_{12} f_{21}) = f_{21} \varphi^w(f_{12}) \sim \lambda \varphi^w(f_{21} f_{12})$   
 $\| \varphi^w(f_{22}) \|$

Want to construct a  $\mathbb{C}$ -central seq.

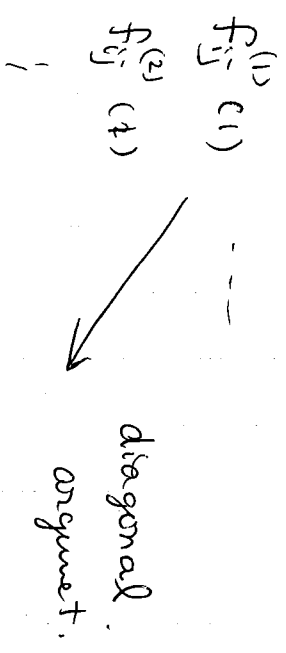
from  $f_{11}$  &  $f_{22}$ .  $\rightarrow$

$$\varepsilon = \frac{1}{n} \quad f_{ij}^{(n)} \in M^n M^w = N$$

$$\| f_{ij}^{(n)} \varphi^w - \frac{\varphi^w}{\lambda^{i,j}} f_{ii}^{(n)} \| < \frac{1}{n}$$

$$\lim_{R \rightarrow \infty} \| f_{ij}^{(n)}(\varphi) - \lambda^{i,j} \varphi f_{ij}^{(n)}(\varphi) \|$$

matrix units for each  $R$ .



(index selection trick)

We have.

$f_{ij} \in M^n M^w$  matrix unit.

s.t.

$$f_{ij} \varphi^w = \lambda^{i,j} \varphi^w f_{ij}$$

Then  $f_{ii} \in (M^n M^w)_{\varphi^w} = \mathbb{C}$ .

But

$$\varphi^w(f_{11}) = \lambda \varphi^w(f_{22})$$

$$f_{ii} = 1 \text{ or } 0 \quad \sum$$

Thm, 6.17

$$M_w = \mathbb{C} \iff M^n M^w = \mathbb{C}$$

Cor. 6.8

$M$  full  $\implies M^w$  factor.



Section 7  $M^w$  is a factor?

Thm. 7.1,

•  $M$  factor of ~~no~~ <sup>not</sup> type III<sub>0</sub>

$\Rightarrow M^w$  factor

•  $M$  type III<sub>0</sub> factor

$\Rightarrow M^w$  non-factor  $\rightarrow$

~~Proof~~  
M type I

$B(H)^w = B(H)$

type II<sub>1</sub>

$M^w$  II<sub>1</sub> factor

II<sub>∞</sub>  
 $(M \otimes B(H))^w = M^w \otimes B(H)$   
II<sub>∞</sub>-factor.

III<sub>λ</sub>  $\Rightarrow$  ?

Idea: Use  $d(M)$

diameter of  $M$ .

$S_n(M) := \{ \varphi \in M_n^+ \mid \varphi(1) = 1 \}$

$\varphi \sim \psi \iff \varphi = \sum_{i=1}^n u_i \psi u_i^* \quad \exists u_i \in \mathcal{K}^n$

$d([\varphi], [\psi]) := \inf_{u \in U(M)} \| \varphi - u \psi u^* \| \leq 2$

$S_n(M) / \sim$  metric  $d$ .

Defn. 7.2

$d(M) := \sup_{\varphi, \psi \in S_n(M)} d([\varphi], [\psi])$

$M$  not factor  $\Rightarrow d(M) = 2$

Thm. 7.3.14 factor

$$d(M) = \begin{cases} 2(1 - \frac{1}{n}) & \text{M type I}_n \\ 2 & \text{II} \\ 2 \frac{1-\sqrt{\lambda}}{1+\sqrt{\lambda}} & \text{III}_\lambda \end{cases}$$

$0 \leq \lambda \leq 1$

$\xi = (\xi_{\varphi_n})_\omega, \quad \eta = (\eta_n)_\omega$

$(\xi_n, \eta_n \in \mathcal{P})$

Let  $\varphi_n := \omega \xi_n, \quad \psi_n := \omega \eta_n$

$\exists u_n \in \mathcal{U}(M)$

s.t.

$\|\varphi_n - u_n \psi_n u_n^*\| < d([ \varphi_n ], [ \psi_n ]) + \frac{1}{n}$

Then  $(u_n)_\omega \in \Pi^\omega M$

$\| \varphi - u \psi u^* \| = \lim_{n \rightarrow \infty} \| \varphi_n - u_n \psi_n u_n^* \|$

$\leq \lim_{n \rightarrow \infty} d([ \varphi_n ], [ \psi_n ]) \leq d(M)$

$\therefore d(\Pi^\omega M) \leq d(M)$

Thm. 7.4

$d(\Pi^\omega M) = d(M)$

Standard

Let us recall  $\Pi^\omega M \cong H_\omega$

proof

Let  $\varphi, \psi \in (\Pi^\omega M)^+$  states.

$\exists \xi, \eta \in \mathcal{P}_\omega$

s.t.  $\varphi = \omega \xi, \quad \psi = \omega \eta$



Take  $\varphi_n, \psi_n \in S_n(M)$

$$u_n \in U(M)$$

s.t.

$$\|\varphi_n - u_n \psi_n u_n^*\| \geq d(M) - \frac{1}{n}$$

Then

$$\lim_{n \rightarrow \infty} \|\varphi_n - u_n \psi_n u_n^*\| \geq d(M)$$

$$\|\varphi - u \psi u^*\| \xrightarrow{\text{because we're treating } \Pi^u M}$$

$$d(\Pi^u M)$$

where  $\varphi = (\varphi_n)_w, \psi = (\psi_n)_w$  □

Cor 7.5.

$$0 \neq \lambda \leq 1$$

$M$  type III $_\lambda$  factor

$$\Rightarrow \Pi^u M, M^w \text{ III}_\lambda \text{ factors}$$

↑  
corner of  $\Pi^u M$  ┘

proof

$$d(\Pi^u M) = 2 \frac{1-\sqrt{\lambda}}{1+\sqrt{\lambda}} \leq 2 \rightarrow \Pi^u M \text{ III}_\lambda$$

• Type III $_0$  case

Let

$$M = M_\varphi \rtimes_\theta \mathbb{Z}$$

be the discrete decomposition w.r.t.  $\varphi$  lacunary w.t.

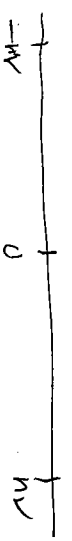
Let  $U$  be the implementing unitary of  $\theta$ .

$$\exists D > 0 \text{ s.t. } U \in M(\sigma^D, [D, \infty))$$

In particular

$$M_\varphi U^n \in M(\sigma^D, [nD, \infty))$$

if  $n \geq 0$   
if  $n \leq 0$ ,



→ giving for.

Let  $(x_n) \in \mathcal{M}^w$  &

$$x_n = \sum_{k \in \mathbb{Z}} a_n(k) T^k$$

the Fourier series of  $x_n$ .

Since  $(x_n)$  is a multiplier seq.,

by Cor 5.3, the spectrum of  $x_n$  is

almost contained in  $[-a, a]$ ,

for any  $n$ . (or big  $n$ ).

This means. for big  $k$ ,  $a_n(k) \sim 0$   
 $\| \cdot \|_{\phi}$ .

Therefore  $x_n$  is "almost" contained

in the algebraic span of  $M_{\phi}^w T^k$

| This implies

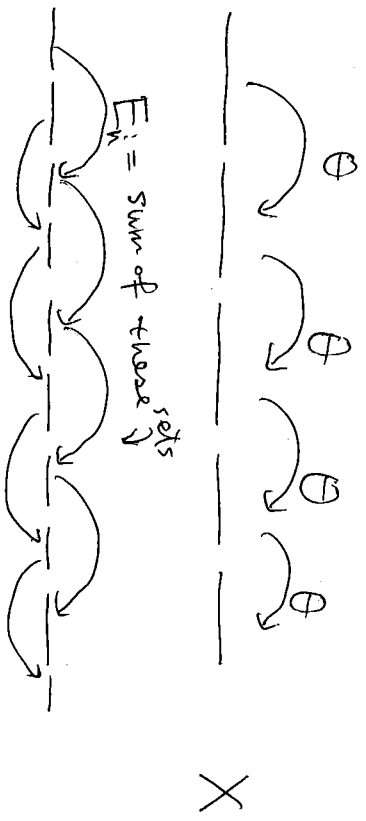
$$M^w = M_{\phi}^w \times_{\theta} \mathbb{Z}$$

$$Z(M^w) \supset (Z(M_{\phi}^w))^{\theta^w}$$

We will show  $\int$  is non-trivial.

$\theta \curvearrowright Z(M_{\phi}) = L^{\infty}(X, \mu)$  prob. measure  
 ergodic & non-singular.

Thanks to Rokhlin thm.



$$\text{Then } \mu(E_n) \approx \frac{1}{n}$$

$$\cdot \mu(E_n \Delta \theta(E_n)) < \frac{1}{n}$$

Then  $e_n := \bigvee e_n \in Z(M_\varphi)$

$$e := (e_n) \in (Z(M_\varphi)^\omega)^\theta^\omega$$

But

$$\mu(e) = \frac{1}{2} \quad \text{non-triv.}$$



Note that

$$\begin{array}{ccc} E_n: M^\omega & \xrightarrow{\psi} & M \\ \psi & & \psi \\ (X_n)^\omega & \xrightarrow{\lim_{n \rightarrow \infty}} & X_n \end{array} \quad \begin{array}{l} \text{Type III.} \\ \text{is a faithful} \\ \text{normal} \\ \text{cond. exp.} \end{array}$$

By Tomiyama's thm,  $M^\omega$  is of Type III.

