

1. Preliminary

- Notation general.

-  $\text{End}(M)$  etc

-  $C^*$ -tensor category

$X \otimes Y, \bar{X}, \text{dix}(X)$  etc.

amenability.

2. Actions of  $\mathcal{E}$ .

$\mathcal{E}$  devotes a  $C^*$ -...

$M$  devotes a prop of  $VN$ .

$(\alpha, C)$   
 $\mathcal{E} \curvearrowright M$  cocycle action.

- Centrally freeness.

- local quantum.

3. Rokhlin property.

- construction.

4. Classification.

- 2-coh. vanishing types result in  $M^{\text{tr}}$

- 1-coh. ---

- Interesting argument.

5. (Amenable) subfactors.

- Classification

-  $C^*$ -2-category & std inv.

- Classification.

$$\pi_Z \circ \alpha_Z = \beta_Z \circ \pi_0$$

$$X < Z, \quad T: X \rightarrow Z, \quad \pi_X(\alpha_X(x))$$

$$T^{\beta^*} \pi_Z(\alpha_Z(x)) = T^{\beta} \beta_X(\pi_0(x)) T^{\beta^*}$$

$$\pi_Z(T^{\alpha} T^{\alpha^*} \alpha_Z(x)) = \pi_Z(T^{\alpha} \alpha_X(x) T^{\alpha^*}) = \pi_Z(T^{\alpha} \beta_X(\pi_0(x)) T^{\alpha^*})$$

$$T^{\beta^*} \pi_Z(T^{\alpha}) \in \beta_X(\mathcal{P}_0)' \cap \mathcal{A} = \mathbb{C}$$

$\cap$

$\mathbb{C}$

$E_X^{\alpha}$  unique  
 $\alpha_X(M) \subset M \otimes \mathcal{B}(H_X)$

$$(t \cdot \text{tr}_X) \cdot E_X^{\alpha} = \tau \cdot \text{tr}_X$$

$$\alpha_X(\tau) = (\tau \cdot \text{tr}_X)(\mathcal{P}_Z = 1)$$

$\parallel$

$\tau \cdot \phi_{\alpha_X}$

$$\tau(\theta_{\bar{X}}(\alpha)) = \tau(\text{tr} \alpha)$$

$\parallel$

$$\tau(\theta_{\bar{X}}(\tau) \theta_{\bar{X}}(\alpha))$$

$\parallel$

Section 1 Preliminarily

No.

§1.1 General notations

$M$ :  $n \times n$  alg with sep  $M^*$

$Z(M) := M' \cap M$

$M^*$  is an  $M$ - $M$ -bimodule:

$a \varphi b(x) := \varphi(bxa) \quad x \in M$

$a, b \in M, \varphi \in M^*$

$\varphi \in M^*$

$M_\varphi := \{a \in M \mid a\varphi = \varphi a\}$

- the centralizer of  $\varphi$
- $M_\varphi$  subalg of  $M$
- $\varphi|_{M_\varphi}$  traceless
- $Z(M) \subset M_\varphi$

For  $x \in M$ ,

$|x|_\varphi := \varphi(|x|)$

$\|x\|_\varphi := \varphi(x^*x)^{\frac{1}{2}}$  ← triangle inequality ok.

$a \in M_\varphi \quad \|a\varphi\| \leq \|a\|_\varphi$

$\|\varphi a\| \leq \|a\|_\varphi$

(ii) Let  $a = v|a|$ . P.d.

$x \in M$

$a\varphi(x) = \varphi(xa) = \varphi(xv|a|)$

$= \varphi(|a|^{\frac{1}{2}} xv|a|^{\frac{1}{2}}) \quad |a|^{\frac{1}{2}} \in M_\varphi$

$\leq \varphi(|a|)^{\frac{1}{2}} \varphi(|a|^{\frac{1}{2}} x v |a|^{\frac{1}{2}})^{\frac{1}{2}}$

$\leq \|x\| \varphi(|a|) \leq \|x\| \varphi(|a|)$

$\|a+b\|_\varphi \leq \|a\|_\varphi + \|b\|_\varphi \quad \forall a, b \in M_\varphi$

(iii)  $\|a+b\|_\varphi = \varphi(u^*(a+b)) \quad u|a+b| = a+b$

$= \varphi(u^*a) + \varphi(u^*b)$

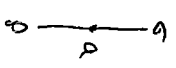
$\leq \|a\|_\varphi + \|b\|_\varphi$

$\text{Mor}(N, M) := \{ \rho \mid \rho: N \rightarrow M \}$  unitary  $\rho$   
normal  $\ast$ -homom }  
 (possibly non-sep)

For  $\rho, \sigma \in \text{Mor}(N, M)$

$(\rho, \sigma) := \{ a \in M \mid \rho(x) = \sigma(x) \ \forall x \in N \}$

The intertwiner sp from  $\rho$  to  $\sigma$ .



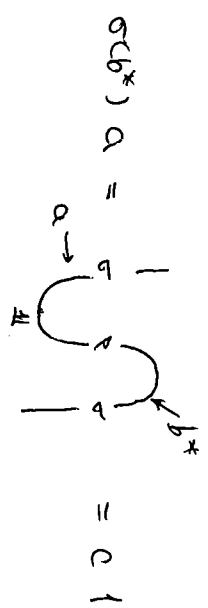
$\rho: N \rightarrow M$  &  $\sigma: M \rightarrow N$  are a conjugate pair

$\exists a \in (\text{id}_N, \sigma \rho) \ \exists b \in (\text{id}_M, \rho \sigma)$  st.

$\rho(b^*) a = c \cdot 1_N$  scalar  
 $\rho(a^*) b = c \cdot 1_M$

$\rho(b^*) a \in (\sigma, \sigma), \ \rho(a^*) b \in (\rho, \rho)$

$\rho(b^*) a \rho(x) = \rho(b^*) \rho \sigma(x) a = \sigma(b^* \rho \sigma(x)) a$   
 $= \sigma(x) \sigma(b^*) a$



$\rho(a^*) b = c \cdot 1$

$\text{Mor}(N, M)_0 := \{ \rho \in \text{Mor}(N, M) \mid \rho \text{ has a conj } \}$

$\ast$  A conj of  $\rho$  is unique up to Ad unitary. map

$(i) \ a \in (\text{id}_N, \sigma \rho) \ \ b \in (\text{id}_M, \rho \sigma) \ \ \cup = c \cdot 1$   
 $a' \in (\text{id}_N, \sigma' \rho) \ \ b' \in (\text{id}_M, \rho \sigma') \ \ \cup = c' \cdot 1$

$a^* \sigma(b') = \prod_{b' \in B} a^* \sigma(b')$  \in (\sigma, \sigma')

$a^* \sigma'(b) = \prod_{b \in B} a^* \sigma'(b)$  \in (\sigma', \sigma)

$a^* \sigma(b') a'^* \sigma'(b) = \prod_{b' \in B} \prod_{b \in B} a^* \sigma(b') a'^* \sigma'(b)$   
 $= c \cdot \prod_{b' \in B} \prod_{b \in B} a^* \sigma(b') a'^* \sigma'(b) = c c' \neq 0$

$\text{End}(M) := \text{Mor}(M, M)$

$\text{End}(M)_0 := \text{Mor}(M, M)_0$

8.2. Ultraproducts

$\mathbb{N} = \{1, 2, \dots\}$  ← discrete top. sp.

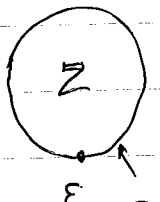
$\mathcal{Q}^\infty(\mathbb{N}) = C(\beta\mathbb{N})$

Gelfand spectrum  
 $\{x \mid \chi : \mathcal{Q}^\infty(\mathbb{N}) \rightarrow \mathbb{C} \mid \chi(1) = 1\}$

$\mathbb{N} \hookrightarrow \beta\mathbb{N}$   
 $n \mapsto e_n$

dense range

$e_n(x) := x_n$



$\beta\mathbb{N} \setminus \mathbb{N}$  cpt boundary

Fix  $w \in \beta\mathbb{N} \setminus \mathbb{N}$

Let  $h \rightarrow w$ .  $\forall g \in \mathcal{Q}^\infty(\mathbb{N}) = C(\beta\mathbb{N})$

$g(n) \rightarrow g(w)$  by continuity.

cpt Haus.  $\mathbb{Z}_n \in A$

$n=1, 2, \dots$

$\exists! \lim_{n \rightarrow w} z_n \in A$

(ii)  $\forall f \in C(A)$

$\text{tr} f = (f(z_1), f(z_2), \dots) \in \mathcal{Q}^\infty(\mathbb{N})$

$f(z_n) \rightarrow \text{tr} f(w)$

( $n \rightarrow w$ )

$f \mapsto \text{tr}(f)(w)$  character on  $C(A)$

• Ultraproduct  $\forall N$  alg.

$M$ :  $\forall N$  alg

$\mathcal{Q}^\infty := \{f(x_n) \mid x_n \in M, \sup \|x_n\| < \infty\}$

$C^*$ -alg

$\mathcal{I}_w := \{f(x_n) \in \mathcal{Q}^\infty \mid x_n \xrightarrow{S^*} 0 \text{ (} n \rightarrow w \text{)}\}$

i.e.

$\|x_n\| \rightarrow 0 \implies \mathcal{I}_w \xrightarrow{\|\cdot\|} 0$

$\forall \varphi \in M^*$

$\mathcal{M}_w := \{f(x_n) \in \mathcal{Q}^\infty \mid \exists g^w + \mathcal{I}_w \subset \mathcal{I}_w\}$

multiplication alg of  $\mathcal{I}_w$

$e^w := \{f(x_n) \in \mathcal{Q}^\infty \mid x_n \varphi - \varphi x_n \xrightarrow{n \rightarrow w} 0\}$

$\forall \varphi \in M^*$

$\mathcal{I}_w \subset e^w \subset \mathcal{M}_w \subset \mathcal{Q}^\infty$  (norm closed)

$M^w := \mathcal{S}M^w / \mathcal{I}^w \quad (x_n)^w := (x_n) + \mathcal{I}^w$   
 Ultra-products  
 $M_w := C^w / \mathcal{I}^w$

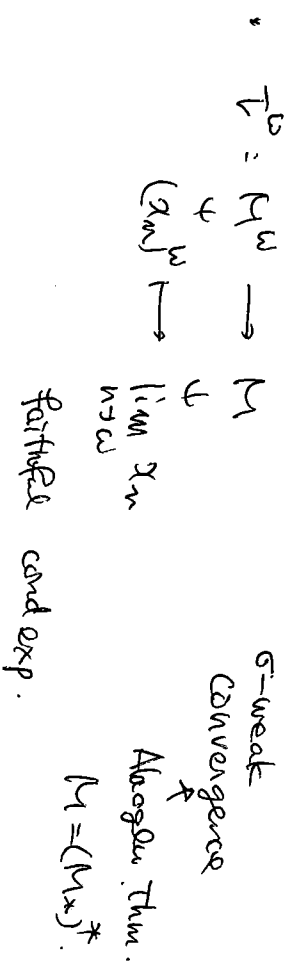
$M \hookrightarrow M^w$   
 $x \mapsto (x, x, \dots)^w$     const embedding

$M_w \subset M' \cap M^w$

(i)  $(x_n)^w \in M_w \quad y \in M$

$(x_n y - y x_n)^w = 0$

$x_n y \cdot \varphi \approx (y \varphi) \cdot x_n = y \varphi x_n \approx y x_n \varphi$



For  $\varphi \in M^*$      $\varphi^w := \varphi \cdot T^w : M^w \rightarrow \mathbb{C}$

$\varphi^w((x_n)^w) = \varphi(\lim_{n \rightarrow \infty} x_n)$   
 $= \lim_{n \rightarrow \infty} \varphi(x_n)$

In fact.  $M^w$   $w^*$ -alg. s.t.

$T^w : M^w \rightarrow M$  faithful normal cond. exp.

$M_w \quad w^*$ -alg

since for  $\forall \varphi \in M^*$  faithful state  
 $M_w = (M' \cap M^w)_{\varphi^w}$

(ii)  $\subset$  ok  
 $\supset (x_n)^w \in \text{RHS}$

$M^* \supset M \varphi$  norm dense

$x_n y \varphi \approx y x_n \varphi$

$\forall \varphi, \psi \in M^* \quad \forall x, y \in M^w$

$\|x \varphi^w - y \psi^w\| = \lim_{n \rightarrow \infty} \|x_n \varphi - y_n \psi\|$

$\Rightarrow x \in M_w \Leftrightarrow x \varphi^w = \varphi^w x \quad \forall \varphi \in M^*$

### § 1.3. $C^*$ -tensor category

$\mathcal{E} : C^*$ -tensor category

$C^*$ -category with  $\otimes$

defn  $\rightarrow$  sp of morphisms  $T: X \rightarrow Y$

(1)  $X, Y \in \mathcal{E}$   $\mathcal{E}(X, Y)$  is a Banach sp.

$$\mathcal{E}(Y, Z) \times \mathcal{E}(X, Y) \rightarrow \mathcal{E}(X, Z)$$

$$(S, T) \mapsto ST$$

is bilinear &  $\|ST\| \leq \|S\| \|T\|$ .

$$X \xrightarrow{T} Y \xrightarrow{S} Z$$

(2)  $*$ -operation s on  $\mathcal{E}(X, Y)$ .

$$*: \mathcal{E}(X, Y) \rightarrow \mathcal{E}(Y, X)$$

$$T \mapsto T^*$$

conj. bilinear

$$(ST)^* = T^* S^*$$

$$T^{**} = T$$

$$\|T^*\| = \|T\|^2$$

$T: X \rightarrow Y$   $C^*$ -alg  
 $T^* \in \mathcal{E}(Y, X)$   
 positive operation

(3) (Tensor products)

$\otimes : \mathcal{E} \times \mathcal{E} \rightarrow \mathcal{E}$  : bilinear bifunctor.

$1 \in \mathcal{E}$  : tensor unit.

stb.

$\forall X, Y \in \mathcal{E}$  we have  $X \otimes Y \in \mathcal{E}$ .

$$X \otimes (Y \otimes Z) = (X \otimes Y) \otimes Z$$

(strictness)   
 (with identity associativity)

$$X \otimes 1 = X = 1 \otimes X$$

$$S : X \rightarrow Y, T : Z \rightarrow W$$

$$\mapsto S \otimes T : X \otimes Z \rightarrow Y \otimes W$$

(we can ~~compute~~ treat  $S, T$  like tensor product  $C^*$ -algs e.g.  $(S \otimes T)(S^* \otimes T^*) = S^* \otimes T^*$ )

$$(S \otimes T)^* = S^* \otimes T^*$$

(4) (Idempotent complete)

$$\forall p \in \text{End}(X) \quad \exists Y \in \mathcal{C} \quad \exists q: Y \rightarrow X$$

st.

$$q^* q = 1_Y$$

$$q q^* = p$$

we denote

$$Y \prec X$$

(5) (Direct sum)

$$\forall X, \forall Y \in \mathcal{C} \quad \exists Z \in \mathcal{C} \exists \nu: X \rightarrow Z \quad \text{st.}$$

$$w = Y \rightarrow Z$$

$$\nu^* \nu = 1_X \quad w^* w = 1_Y \quad w \nu + w w^* = 1_Z$$

(6) ( $\mathbb{1}$  is simple)

$$\text{End}(\mathbb{1}) = \mathbb{C} \mathbb{1}$$

\*  $X \in \mathcal{C}$  simple iff  $\text{End}(X) = \mathbb{C} \mathbb{1}$

Def 11 (Tensor functors)

$\mathcal{C}, \mathcal{D} : \mathcal{C}^*$  tensor ~~fun~~ categories

$$(F, L) : \mathcal{C} \rightarrow \mathcal{D}$$

unitary tensor functor

iff  $F: \mathcal{C} \rightarrow \mathcal{D}$  functor between  $\mathcal{C}^*$ -cats

$$x \mapsto F(x) \quad F(\mathbb{1}) = \mathbb{1}$$

(linear wrap)

$$T: X \rightarrow Y \mapsto F(T): F(X) \rightarrow F(Y)$$

unitary

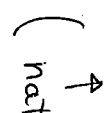
$$F(S^*) = F(S)^*$$

$$F(ST) = F(S)F(T)$$

$$L_{X,Y} : F(X \cdot Y) \rightarrow F(X) \circ F(Y)$$

unitary

natural w.r.t.  $X, Y \in \mathcal{C}$



natural means the well-behavior when changing variables  $X, Y$

$$\text{i.e. if } T: X \rightarrow Z \quad S: Y \rightarrow W$$

$$F(X \cdot Y) \xrightarrow{F(T \circ S)} F(Z \cdot W)$$

$$L_{X,Y} \downarrow \quad \quad \quad \downarrow L_{Z,W}$$

$$F(X) \cdot F(Y) \xrightarrow{F(T) \cdot F(S)} F(Z) \cdot F(W)$$

$L_{X,Y}$  satisfies the 2-cocycle rel

$$(L_{X \cdot Y, Z} \circ L_{X, Y \cdot Z}) = (L_{X, Y} \cdot L_{Y, Z}) \cdot L_{X, Y \cdot Z}$$

$$F(X \cdot Y \cdot Z)$$

$$F(X \cdot Y \cdot Z) \rightarrow F(X) \cdot F(Y \cdot Z)$$

$$\swarrow L_{X, Y \cdot Z}$$

$$F(X \cdot Y) \cdot F(Z)$$

$$\downarrow L_{X, Y} \cdot F(Z)$$

$$F(X) \cdot F(Y) \cdot F(Z)$$

$$\swarrow 1_{F(X)} \cdot L_{Y, Z}$$



Ex. 1.2

Hilb $\mathbb{C}$ : the collection of f.d. Hilb sps

$H, K \in \text{Hilb } \mathbb{C} \quad \mathcal{E}(H, K) = \mathcal{B}(H, K)$

$\mathcal{E} \cong \mathbb{C}I$

$(\text{Im } \mathcal{E} = \{1\}) \quad H \in \mathcal{E} \text{ simple} \iff H = \mathbb{C}$

Ex. 1.3

$G$ : opct (quantum) grp.

$\text{Rep } G = \{ \rho = (\pi_\rho, H_\rho) \}$

$\rho \cong \pi_\rho : G \rightarrow \mathcal{B}(H_\rho)$

Fin dim unitary reprn.

$\mathcal{E}(\mathcal{B}, V) := \{ T \in \mathcal{B}(H_U, H_V) \}$

$T \pi_\mathcal{B}(g) = \pi_V(g) T \forall g$

$\mathcal{U} \circ V := (\pi_\mathcal{B}(g) \cdot \pi_V(g), H_{\mathcal{B}} \otimes H_V)$

$\mathcal{U} \in \mathcal{E} \text{ simple} \iff \mathcal{U} \text{ irreducible}$

Ex. 1.4

$\text{Rep } G \xrightarrow{F} \text{Hilb } \mathbb{C}$

$\mathcal{U} \downarrow \quad \mathcal{U} \downarrow$

$F = \mathcal{E}(U, V) \rightarrow \mathcal{B}(H_U, H_V)$

$\mathcal{U} \downarrow \quad \mathcal{U} \downarrow$

For govt ~~for~~ ~~factor~~

(not surjective)

unitary tensor factor

Factor

then  $\exists! G$  c.g.s.

$\exists E = \mathcal{E} \rightarrow \text{Rep } G$  unitary tensor equiv.

$\mathcal{E} \xrightarrow{F} \text{Hilb } \mathbb{C}$

Forget.

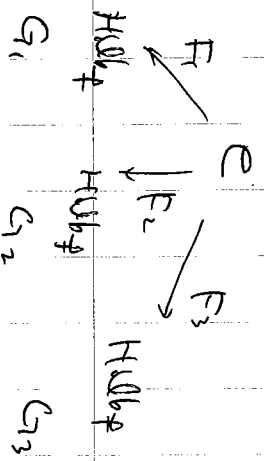
more naturally equiv.

(Woronowicz)

$C^*$ -tensor cat

is in a higher

level than c.g.s



Definition (Rigid  $C^*$ -tensor cat) is rigid

$\mathcal{E} : C^* \otimes \text{cat}$  is rigid

defn  $\Leftrightarrow \forall X \in \mathcal{E}$  has a conj obj

i.e.  $\exists Y \in \mathcal{E} \Rightarrow \exists S : \mathbb{1} \rightarrow Y \otimes X$

$\exists \bar{S} : \mathbb{1} \rightarrow X \otimes Y$

$\exists C > 0$

sch.

$$S^* S = 1 = \bar{S}^* \bar{S}$$

$$(S^* \cdot 1_Y) (1_Y \cdot \bar{S}) = \frac{1}{C} 1_Y \quad \int_Y$$

$$(\bar{S}^* \cdot 1_X) (1_X \cdot S) = \frac{1}{C} 1_X \quad \int_X$$

$\rightarrow C \geq 1$

\* conj obj of  $X$  is unique up to unitary equiv.

We fix  $\bar{X}$ .

\* a rigid  $C^* \otimes \text{cat}$  has dimension

$X \in \mathcal{E}$

$$d(X) := \inf \{c \mid (s, \bar{s}) \text{ solution of conj eq.}\}$$

of conj eq. ?

$$= \min \{c \mid \dots\}$$

Fix  $(R_X, \bar{R}_X)$  which attains the min

i.e.

$$R_X : \mathbb{1} \rightarrow \bar{X} \otimes X$$

$$\bar{R}_X : \mathbb{1} \rightarrow X \otimes \bar{X}$$

$$R_X^* R_X = 1 = \bar{R}_X^* \bar{R}_X$$

$$\int_X \int_X = \frac{1}{d(X)} \quad \int_{\bar{X}} \int_{\bar{X}} = \frac{1}{d(X)}$$

$d(X)$  intrinsic dim of  $X$

We simply write  $d(X)$  for  $d_{\mathcal{E}}(X)$ .

Properties of  $d(X)$

- $d(1) = 1$
- $d(\bar{X}) = d(X) \geq 1$
- $d(X \oplus Y) = d(X) + d(Y)$
- $d(X \otimes Y) = d(X)d(Y)$

Traces on  $\text{End}(X)$

$\text{Tr}_X : \text{End}(X) \rightarrow \mathbb{C}$

$T \mapsto R_X^*(T)R_X$

$\bar{R}_X^*(T \circ 1_X) \bar{R}_X$

Known that

$S, T : X \rightarrow Y$

$d(X) \text{Tr}_X(S^*T) = \text{Tr}_Y(TS^*) d(Y)$

$\Rightarrow \text{Tr}_X$  is tracial

Actually

$\dim \text{End}(X) < +\infty$

f.d.  $\mathbb{C}^*$ -alg.

$\rightarrow$  every  $X$  decomposes into simple  $S_i$

$X = Y_1 \oplus \dots \oplus Y_m$

Frobenius reciprocity

$X, Y, Z \in \mathcal{E}$

$\mathcal{E}(X \otimes Y, Z) \rightarrow \mathcal{E}(Y, \bar{X} \cdot Z)$

$S \mapsto (1_X \circ S) R_X^{-1}$

$\int_{X \cdot Y}^Z$

$d(X) (\bar{R}_X^* 1_Z) (1_X \cdot T) \leftarrow T$

inverse map.

Similarly

$\mathcal{E}(X \otimes Y, Z) \rightarrow \mathcal{E}(X, Z \cdot \bar{Y})$  defined

$$N_{X,Y}^Z := \dim \mathcal{E}(X \cdot Y, Z)$$

$$= \dim \mathcal{E}(Z, X \cdot Y)$$

$$N_{X,Y}^Z = N_{\bar{X}, \bar{Z}}^Y = N_{Z, \bar{Y}}^X$$

For  $X, Y \in \mathcal{E}$  simples

$$\mathcal{E}(X, Y) = 0 \text{ or } 1\text{-dim.}$$

$$\left[ \begin{array}{ll} \text{(i)} \text{ If } a \in \mathcal{E}(X, Y) & a^* a \in \mathcal{E}(X, X) = \mathbb{C}1 \\ & a a^* \in \mathcal{E}(Y, Y) = \mathbb{C}1 \\ & a, b \text{ invertible} \\ & a^* b \in \mathbb{C} \rightarrow X \cong Y \end{array} \right]$$

$$\mathcal{E}(1, \bar{X} \circ Y) \cong \mathcal{E}(X, Y)$$

$$\mathcal{E}(1, Y \circ \bar{X}) \cong \mathcal{E}(X, Y)$$

Hence.  $1 \prec \bar{X} \cdot Y \iff X \cong Y \iff 1 \prec Y \cdot \bar{X}$ .

Let  $X, Y, Z \in \mathcal{E}$  simples.

$$Y \in \mathcal{E}$$

$$\rightarrow \mathcal{E}(X, Y) \text{ HSAB sp by}$$

$$\langle S, T \rangle_X = T^* S$$

ONB  $(X, Y)$ : an ONB of  $\mathcal{E}(X, Y)$

$X, Y, Z \in \mathcal{E}$  simples.

$$\mathcal{E}(X, Y \cdot Z) \xrightarrow{F_R} \mathcal{E}(Z, \bar{Y} \cdot X)$$

$$\downarrow S \mapsto T$$

$$\frac{d(X)^{\frac{1}{2}}}{d(Y)^{\frac{1}{2}} d(Z)^{\frac{1}{2}}} T := (1_T \circ S^*) (R_Y \cdot 1_Z)$$

$$\mathcal{E}(X, Y \cdot Z) \xrightarrow{F_R} \mathcal{E}(Y, X \cdot Z)$$

$$\downarrow S \mapsto T$$

$$\frac{d(X)^{\frac{1}{2}}}{d(Y)^{\frac{1}{2}} d(Z)^{\frac{1}{2}}} T := (S^* \cdot 1_Z) (1_Y \cdot R_Z)$$

unitaries

In particular  $F_R$ : ONB  $\rightarrow$  ONB

$F_R$

Ex. 1.6

No.

$M$ : infinite factor.

$\mathcal{E} := \text{End}(M)_0$

obj:  $\rho \in \text{End}(M)_0$

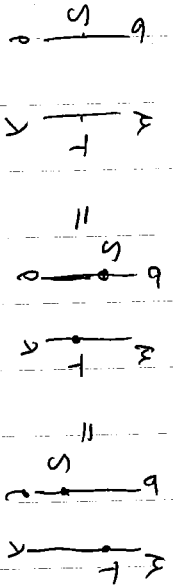
map:  $(\rho, \sigma)$

$\otimes : \rho \otimes \sigma := \rho \sigma \quad \mathbb{1} = \text{id}_M$

$S \circ T := S \rho(T) = \sigma(T) S$

$S : \rho \rightarrow \sigma$

$T : \lambda \rightarrow \mu$



$\rightarrow \mathcal{E}$  rigid  $C^*$ -tensor category

The meaning of  $d(\rho)$ .

Let  $(S, \rho, \bar{S}, \bar{\rho})$  sol. of conj eq.  $(\rho, \bar{\rho})$

$S_\rho : \mathbb{1} \rightarrow \bar{\rho} \circ \rho$

$\bar{S}_\rho : \mathbb{1} \rightarrow \rho \circ \bar{\rho}$

$\mathcal{N} = \frac{1}{d_s(\rho)}$

Put  $\phi_\rho^S : M \rightarrow M$

$x \mapsto S_\rho^* \bar{\rho}(x) S_\rho$

u.c.p. normal faithful

(i)  $\phi_\rho(x^* x) = 0 \Rightarrow \bar{\rho}(x) S_\rho = 0$

$\Rightarrow \rho \bar{\rho}(x) \rho(S_\rho) = 0$

$\Rightarrow \bar{S}_\rho^* \rho \bar{\rho}(x) \rho(S_\rho) = 0$

$x \bar{S}_\rho^* \rho(S_\rho) = \frac{1}{d_s(\rho)} x$

~~(\*) Easy to see  $\phi_\rho^S$  does not dep)~~

$\phi_\rho^S \cdot \rho = \text{id}_M$

left inverse.

$\rightarrow \mathbb{E}_\rho^S := \rho \circ \phi_\rho^S : M \rightarrow \rho(M)$  cond exp.

Let  $e_i^S$  Jones proj w.r.t.  $E_i^S$

i.e.  $\rho(M) \subset M \subset \langle M, e_i^S \rangle$

$M e_i^S M = \langle M, e_i^S \rangle$

$e_i^S \times e_i^S = E_i^S(x) \quad e_i^S \quad \forall x \in M$

Set  $M^S := d^S(\rho) \bar{S}_\rho^*$   $d^S(\rho)$  denotes  $\cup$

Then we can check

$m^S e_i^S m^S = 1 \quad e_i^S \in \langle M, e_i^S \rangle$

Index theory (Jones, Pimsner-Popa, Kasaki, Watabani)

$\text{Ind } E_i^S := m^S m^{S*} = d^S(\rho)^2$

$\geq d_\rho(\rho)^2 = \text{Ind } E_i$

intrinsic dim.

$(R_\rho, \bar{R}_\rho)$  std sol.

Index of  $(\bar{R}_\rho, R_\rho)$   $\rightarrow \phi_\rho(x) = R_\rho^* \bar{R}_\rho(x) R_\rho$

$E_\rho := \rho \circ \phi_\rho$

Known that (use  $[DE = DE^*]$ )

$\forall E = M \rightarrow \rho(M) \quad \forall n$  cond. exp.

$\exists! A \in \rho(M) / nM$  positive inv.

s.t.

$E(x) = E_\rho(A \times A)$

$= \rho(R_\rho^* \bar{R}_\rho(A \times A) R_\rho)$

$\cong \rho(A)$

$\rightarrow \exists c > 0 \quad S_\rho := \bar{R}_\rho(A) R_\rho$

$\checkmark E = E^S$

$\bar{S}_\rho := \begin{matrix} C & h^{-1} \\ 0 & R_\rho \end{matrix}$

std sol. of conj. eq.

$\rightarrow \bar{S}_\rho^* \bar{S}_\rho = 1$

$C^2 \bar{R}_\rho^* h^{-2} \bar{R}_\rho$

$(\bar{R}_\rho^* h^{-2} \bar{R}_\rho) \quad (\bar{R}_\rho^* A^2 \bar{R}_\rho)^{\frac{1}{2}} \cong \bar{R}_\rho^* 1 \bar{R}_\rho = 1$

$\text{Tr}_\rho(A^2)$

$\text{"} R_\rho^* (A \circ A^2) R_\rho \text{"}$

$\rightarrow c \leq 1$

$R_\rho^* \bar{R}_\rho(A^2) R_\rho = S_\rho^* S_\rho = 1$

$$S_p^* \bar{p}(S_p) = \frac{C}{d(p)} = \frac{1}{\left(\frac{d(p)}{C}\right)} =: ds(p)$$

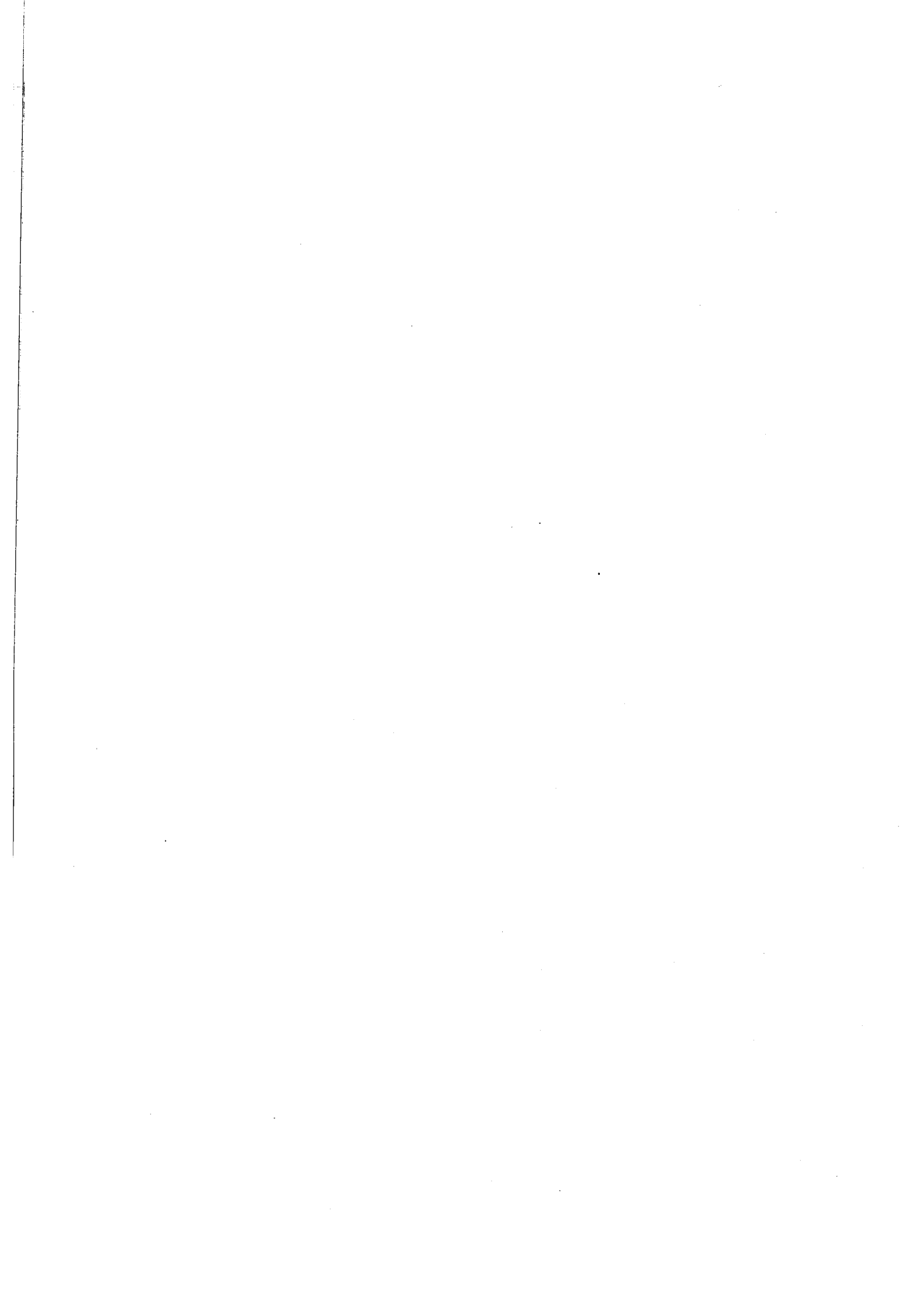
$$\text{Ind } E = \text{Ind } E^S = ds(p)^2 \geq \text{Ind } E_p$$

Hence  $E_p : M \rightarrow \mathbb{R}(M)$  minimal exp (H'ain)

$$\text{Ind } E_p = d(p)^2$$

// often denoted by

$$[M : \mathbb{R}(M)]_0.$$





### Amenability of $E$

$\text{Inn } E$ :  $\sigma$ -measure

$$\sigma(x) = dx(x)^2, \quad x \in \text{Inn } E$$

$\mathcal{F} \subset \text{Inn } E$

$$|\mathcal{F}|_{\sigma} := \sum_{x \in \mathcal{F}} dx(x)^2$$

#### Defn. 1.17

$\mathcal{F}, K \subset \text{Inn } E, \quad S > 0.$

$K$  is  $(F, S)$ -inv.

$$\stackrel{\text{defn}}{\iff} |\mathcal{F}, K \Delta K|_{\sigma} < S |K|_{\sigma}$$

where

$$\mathcal{F}, K := \{z \in \text{Inn } E \mid \exists x \in \mathcal{F}$$

$$y \in K$$

$$z < x \circ y.$$

$\Delta$ : symmetric difference.

$E$  amenable  $\stackrel{\text{defn}}{\iff} \forall \mathcal{F} \subset \text{Inn } E \quad \forall S > 0$

$$\exists K \subset \text{Inn } E \text{ (F.S.)-inv.}$$

### Rem

$\exists$  many equiv conditions to amenability.

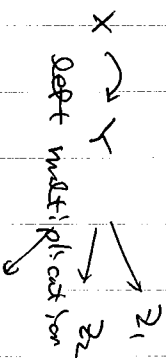
We set

$$P_x(y, z) := \frac{d(z)}{d(x) d(y)} N_{x,y}^z$$

for  $x, y, z \in \text{Inn } E.$

(Recall  $N_{x,y}^z = \dim E(z, x \circ y).$ )

the transition prob.



$$\sum_z P_x(y, z) = 1.$$

Lem.  $K : (F, \delta) \rightarrow \mathcal{M}_V$ .

$$\sum_{(x, y, z) \in F \times K \times K^c} d(x)^2 d(y)^2 p_x(y, z) \quad (*)$$

$$= \sum_{(x, y, z) \in F \times K^c \times K} d(x)^2 d(y)^2 p_x(y, z)$$

$$\leq \delta |F|_\sigma |K|_\sigma$$

□

Proof.

$$p_x(y, z) = \frac{d(z)}{d(x)d(y)} N_{x,y}^z = 0$$

$$x \in F \quad y \in K \quad \text{if } z \notin F \cdot K$$

$$(x) = \sum_{(x, y, z) \in F \times K \times F \cdot K \setminus K^c} d(x)^2 d(y)^2 p_x(y, z)$$

$$\leq \sum_{(x, y, z) \in F \times \text{Im} K \times F \cdot K \setminus K^c} d(y)^2 p_x(y, z)$$

$$d(x)^2 d(y) d(z) N_{x,y}^z \quad d(z)^2 p_x(y, z)$$

$$= \sum_{(x, z) \in \text{Im} K} d(x)^2 d(z)^2$$

$$= |F|_\sigma |F \cdot K \setminus K^c|_\sigma \leq |F|_\sigma \delta |K|_\sigma \quad \square$$

# Section 2 Cocycle actions

## §2.1. Cocycle actions.

### Defn 2.1

$(\alpha, \sigma) : E \curvearrowright^N M$  cocycle action

$\Leftrightarrow$   $(\alpha, \sigma) : E \rightarrow \text{End}(M)$ . unitary tensor functor.

i.e.

$\forall x \in E$ .  $\alpha_x \in \text{End}(M)$  assigned.

$\forall x, y \in E$   $C_{x,y} \in M$  unitary

$\forall T \in \mathcal{E}(X,Y)$   $\alpha(T) \in M$   
 $\parallel$  denoted by  $T^\alpha$  on  $[T]^\alpha$

with

$\alpha_{1E} = \text{id}_M$

$C_{x, 1E} = 1 = C_{1E, x}$

$\alpha_x \cdot \alpha_y = \text{Ad}_{C_{x,y}} \cdot \alpha_{x \otimes y}$

$C_{x,y} C_{x \otimes y, z} = \alpha_x(C_{y,z}) C_{x,y \otimes z}$

$T^\alpha \alpha_x(\alpha) = \alpha_y(x) T^\alpha$   $\forall x \in M$   
 $T \in \mathcal{E}(X,Y)$

$[S^{-1}]^\alpha = [S]^\alpha T^\alpha$

$[S^*]^\alpha = (S^\alpha)^*$  unitarity

$1_x^\alpha = 1$  ( $1_x \in \text{End}(x)$ )

$S : X \rightarrow Y, T : Z \rightarrow W$

$C_{T,W} [S \otimes T]^\alpha = S^\alpha \alpha_x(T^\alpha) C_{x,Z}$

\*  $T \mapsto T^\alpha$  faithful

\* IF  $C_{x,y} = 1 \forall x, y \in E$ .  $(\alpha, 1)$  is called an action.

\* (unitary perturbation)

IF  $U_x \in M$  unitary ( $x \in E$ ) given,

Put  $\alpha_x^U := \text{Ad}_{U_x} \cdot \alpha_x$

$C_{x,y}^U := U_x \alpha_x(C_{Ux, Uy}) C_{x,y} U_{x \otimes y}^*$

$T^{\alpha^U} := U_Y T^\alpha U_X$   $T \in \mathcal{E}(X,Y)$

$\rightarrow (\alpha^U, C^U) = E \curvearrowright^N M$  cocycle action.

Left inverse of  $\alpha_x$

$$(\alpha, c) = e \in N_M$$

Set  $\phi_x^\alpha : M \rightarrow M$

$$\phi_x^\alpha(x) = R_x^{\alpha^*} C_{\bar{x}, x}^* \alpha_x(x) C_{\bar{x}, x} R_x^\alpha$$

for  $x \in M$ .

LEM. 2.2

(1)  $\phi_x^\alpha$  is faithful normal ucp.

(2)  $\phi_x^\alpha \cdot \alpha_x = \text{id}_M$

(3)  $\phi_{x \circ \bar{y}}^\alpha \text{Ad}_{C_{\bar{x}, \bar{y}}} = \phi_{\bar{y}}^\alpha \cdot \phi_x^\alpha$

Proof.

(1) Suppose  $x \geq 0$  with  $\phi_x^\alpha(x) = 0$

$$\rightarrow \alpha_x(x) C_{\bar{x}, x} R_x^\alpha = 0$$

$$\alpha_x(\alpha_x(x)) \alpha_x(C_{\bar{x}, x}) \alpha_x(R_x^\alpha) = 0$$

$$\cancel{\alpha_x} \alpha_x \circ \bar{x}(x) C_{x, \bar{x}}^* \alpha_x(C_{\bar{x}, x}) \alpha_x(R_x^\alpha)$$

$$\rightarrow \bar{R}_x^{\alpha^*} \alpha_x \circ \bar{x}(x) C_{x, \bar{x}}^* \alpha_x(C_{\bar{x}, x}) \alpha_x(R_x^\alpha) = 0$$

$$\alpha \bar{R}_x^{\alpha^*} \cdot C_{x, \bar{x}} \cdot C_{x, \bar{x}}^* \alpha_x(R_x^\alpha)$$

|| naturally  
2-cocycle rel.

$$\alpha C_{1, x} [\bar{R}_x^{\alpha^*} \otimes 1_x]^\alpha [1_x \circ R_x]^\alpha C_{x, 1}^*$$

$$\alpha [\bar{R}_x^* \cdot 1_x] (1_x \circ R_x)^\alpha$$

$$\alpha [\alpha(x)^{-1} 1_x]^\alpha$$

$$\alpha(x)^{-1} \alpha$$

(2)  $\phi_x^\alpha(\alpha_x(x)) = R_x^{\alpha^*} C_{\bar{x}, x}^* \alpha_x(\alpha_x(x)) C_{\bar{x}, x} R_x^\alpha$

$$= R_x^{\alpha^*} \alpha_x \circ x(x) R_x^\alpha$$

$$= \alpha \cdot R_x^{\alpha^*} R_x^\alpha$$

$$= \alpha$$

(3)  $\bar{Y} \circ \bar{X}, X \circ Y$  conj. pair

$$(1 \bar{Y} \circ R_x \cdot 1_Y) \cdot R_Y \text{ \& } (1_x \cdot \bar{R}_Y \cdot 1_x) \cdot \bar{R}_x$$

std. sol. of conj. eq.

$$\phi_{X \otimes Y}^\alpha (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)$$

$$= [R_Y^* (1_Y \otimes R_X^* \cdot 1_Y)]^\alpha C_{Y \otimes X}^* \otimes C_{Y \otimes X}^*$$

$$\alpha_{Y \otimes X} (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)$$

$$C_{Y \otimes X, X \otimes Y} [ (1_Y \otimes R_X \cdot 1_Y) R_Y ]^\alpha$$

$$= R_Y^{\alpha*} [1_Y \otimes R_X^* \cdot 1_Y]^\alpha C_{Y \otimes X, X \otimes Y}^*$$

$$C_{Y \otimes X}^* \alpha_Y (\alpha_X (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)) C_{Y \otimes X}^*$$

$$C_{Y \otimes X, X \otimes Y} \dots$$

$$= R_Y^{\alpha*} [1_Y \otimes R_X^* \cdot 1_Y]^\alpha C_{Y \otimes X, X \otimes Y}^* \alpha_Y (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)$$

$$\alpha_Y (\alpha_X (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*))$$

$$= R_Y^{\alpha*} C_{Y \otimes X}^* \alpha_Y ([R_X^* \cdot 1_Y]^\alpha)$$

$$\alpha_Y (C_{X \otimes Y, X \otimes Y}^* \alpha_X (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)) C_{X \otimes Y}^* \alpha_X (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*)$$

$$\alpha_Y (C_{X \otimes Y, X \otimes Y}^* C_{X \otimes Y}^* \alpha_X (C_{X \otimes Y}^* \otimes C_{X \otimes Y}^*))$$

$$= R_Y^{\alpha*} C_{Y \otimes X}^* \alpha_Y (R_X^{\alpha*} C_{X \otimes X}^* \alpha_X (C_{X \otimes X}^* \otimes C_{X \otimes X}^*)) \dots$$

$$= \phi_Y^\alpha \phi_X^\alpha (x)$$

★  $\phi_X^\alpha$  does not dep on  $\bar{X}$ , &  $(R_X, \bar{R}_X)$ .

Lem. 2.3 (Decomposition rule)

$$X = X_1 \oplus \dots \oplus X_n$$

i.e.  $S_{\mathbb{R}} = X_{\mathbb{R}} \rightarrow X$  isometry

$$\& \sum_{\mathbb{R}} S_{\mathbb{R}} S_{\mathbb{R}}^* = 1_X$$

Then

$$d(X) \phi_X^\alpha (x) = \sum_{\mathbb{R}} d(X_{\mathbb{R}}) \phi_{X_{\mathbb{R}}}^\alpha (S_{\mathbb{R}}^\alpha \otimes S_{\mathbb{R}}^{\alpha*})$$

$\forall x \in M$

Proof.

$$\bar{X} := \bar{X}_1 \oplus \dots \oplus \bar{X}_n$$

known that  $\exists$

$$T_{\mathbb{R}} = \bar{X}_{\mathbb{R}} \xrightarrow{\text{isom.}} \bar{X}$$

$$\sum_{\mathbb{R}} T_{\mathbb{R}} T_{\mathbb{R}}^* = 1_{\bar{X}}$$

Use

$$R_x := \sum_{\mathbb{R}} (T_{\mathbb{R}} \otimes S_{\mathbb{R}}) \cdot R_{x, \mathbb{R}} \quad \frac{d(x_{\mathbb{R}})^2}{d(x)^2}$$

$$\bar{R}_x := \sum_{\mathbb{R}} (S_{\mathbb{R}} \otimes T_{\mathbb{R}}) \cdot \bar{R}_{x, \mathbb{R}} \quad \frac{d(x_{\mathbb{R}})^2}{d(x)^2}$$

□

Proof

(1) Direct.

(2)  $\alpha_x(\alpha_Y(\varphi)) = \varphi \phi_Y^\alpha \cdot \phi_X^\alpha$

$$= \varphi \phi_{X \cdot Y}^\alpha \cdot \text{Ad } C_{X, Y}^*$$

$$= C_{X, Y}^* \alpha_{X \cdot Y}(\varphi) C_{X, Y}^*$$

(3) Use irred. decomposition of  $X$  &  $Y$ ,  
& decomposition rules of  $\phi_X^\alpha$ .

□

LEM 8.4.

$$\alpha_x(\varphi) := \varphi \circ \phi_x^\alpha \quad \varphi \in M_x$$

$$x \in \mathcal{P}$$

$\alpha_x : M_x \rightarrow M_x$  isometric linear.

LEM 8.4.

7

(1)  $\alpha_x(a\varphi b) = \alpha_x(a) \alpha_x(\varphi) \alpha_x(b)$

(2)  $\alpha_x(\alpha_Y(\varphi)) = C_{X, Y} \alpha_{X \cdot Y}(\varphi) C_{X, Y}^*$

(3)  $\alpha^* S : X \rightarrow Y$

$$\alpha(x) S \alpha_x(\varphi) = d(Y) \alpha_Y(\varphi) S.$$

□

§ 2.2. Freeness of  $(\alpha, C)$

Defn 2.5

$(\alpha, C) = \mathcal{E} \Rightarrow M$  is free

$\Leftrightarrow (\alpha, C) : \mathcal{E} \rightarrow \text{End}(M)$  "free"

i.e.

$$(\alpha_X, \alpha_Y) = \alpha(C(\mathcal{E}(X, Y))) Z(M) \quad (*)$$

$$\forall X, Y \in \mathcal{E}$$

$$= \text{span} \{ T^\alpha z \mid T \in \mathcal{E}(X, Y), z \in Z(M) \}$$

$\perp$

Lem 2.6

$(\alpha, C)$  free

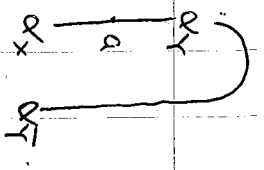
$$\Leftrightarrow (\alpha_X, \alpha_{\perp}) = \{ \alpha \} \quad \forall X \in \mathcal{E} \setminus \{ \perp \}$$

Proof.

$\Rightarrow$  ok

$\Leftarrow$  we show  $(*)$  w.h.a  $X, Y \in \mathcal{E} \setminus \{ \perp \}$

$$a \in (\alpha_X, \alpha_Y)$$



$$b := \overline{R_Y^{\alpha_X}} C_{Y, \overline{Y}}^* a C_{X, \overline{X}}$$

$$\in (\alpha_X, \alpha_{\perp})$$

$$b = \sum_{z \in \mathcal{E}} \overline{b} T^{\alpha_X} z$$

$$z \in \mathcal{E} \setminus \{ \perp \}$$

$$T \in \text{ONB}(Z, X, \overline{Y})$$

$$(\alpha_Z, \alpha_{\perp}) = 0 \quad \forall Z \neq \perp$$

$$= \sum_T b T^{\alpha_X}$$

$$T \in \text{ONB}(\perp, X, \overline{Y})$$

$$= \overline{b} \overline{R_X^{\alpha_X}} R_X^* S_{X, Y}$$

one dim or zero

$$C \neq (\alpha_{\perp}, \alpha_{\perp}) = Z(M)$$

$$\rightarrow \overline{R_Y^{\alpha_X}} C_{Y, \overline{Y}}^* a = S_{X, Y} C \overline{R_X^{\alpha_X}} C_{X, \overline{X}}$$

$$\leftarrow \alpha_X(C_{Y, \overline{Y}} R_Y^*)$$

$$a(Y)^{-1} a = S_{X, Y} C \overline{R_X^{\alpha_X}} C_{X, \overline{X}}^* \alpha_X(C_{Y, \overline{Y}} R_Y^*)$$

$$\parallel S_{X, Y} a(X)^{-1}$$

$$a = C \cdot S_{X, Y}$$

Lem. 8.7

$\alpha, \beta : E \rightarrow M$  free

(1)  $\alpha_x \upharpoonright Z(M) \in \text{Aut}(Z(M))$

$x \in \text{Im} \alpha$

(2)  $\alpha_x \alpha_y = \alpha_z \iff \exists x, y, z \in \text{Im} \alpha$

on  $Z(M)$

$z \prec x \circ y$

⊥

Proof

(1)  $(\alpha_x, \alpha_x) = \alpha(\beta(x, x)) \in Z(M)$

$= Z(M)$

$\alpha_x(M) \cap M = Z(M)$

∪

$\alpha_x(Z(M)) \quad \forall x \in \text{Im} \alpha$

Let  $x \in Z(M)$ .  $y := \alpha_x(x) \in Z(M)$

$x = \phi_x^\alpha(y) = \overline{R_x^{\alpha_x} C_{x,x}^x} \alpha_x(y)$

$= \alpha_x(y)$

□

(2)  $x \in Z(M)$

$\alpha_x(\alpha_y(x)) = C_{x,y} \alpha_{x-y}(x) C_{x,y}^*$

$\alpha_x(\alpha_y(x)) = \alpha_{x-y}(x)$

$S^*$

$S$

$S = Z = X \cdot Y$

□



§ 2.3 Central Freeness

$(\alpha, c) : E \curvearrowright M$  cocycle action

Recall  $M^w \rtimes M^w$

$(x_n)^w$  central  
multiplication of  $T^w$

Set  $(\alpha^w, c) : E \curvearrowright M^w$  by

$$\alpha_x^w((x_n)^w) := (\alpha(x_n))^w$$

(i) well-defined?

$(x_n) \in M^w, (y_n) \in T^w$   
trivial seq.

$$\|y_n \alpha_x(x_n)\|_{\alpha(x)}^2$$

$$= \alpha_x(\varphi)(\alpha_x(x_n^*) y_n^* y_n \alpha_x(x_n))$$

$$= \varphi(x_n^* \phi_x^\alpha(y_n^* y_n) x_n)$$

$$= \|\phi_x^\alpha(y_n^* y_n)\|_{\alpha(x)} \rightarrow 0$$

converges to 0.

We will simply write

$$\alpha_x \text{ for } \alpha_x^w \rightarrow \alpha_x^w(\varphi) = \alpha_x(\varphi)$$

We use the same 2-cocycle  $C_{x,y} \in M$

$$T^\alpha \in M, T \in E(x, Y)$$

$\alpha_x(M^w) \not\subseteq M^w$  in general.

$$\phi_x^\alpha(M^w) \subseteq M^w$$

(ii)

$$\alpha = (x_n)^w \in M^w$$

$$\phi_x^\alpha(a) \varphi(x)$$

$$= \varphi(\alpha \phi_x^\alpha(a))$$

$$= \varphi(\phi_x^\alpha(\alpha_x(a)))$$

$$= \alpha_x(\varphi)(\alpha_x(a))$$

$$= \alpha \alpha_x(\varphi)(\alpha_x(a)).$$

$$= [\alpha_x(\varphi) \alpha] \circ \alpha_x$$

$$= \varphi \cdot \phi_x^\alpha(\alpha)$$

Defn 8.8

(X, C) :  $E \sim M$  is

• centrally free  $\Leftrightarrow^{\text{defn}}$   $\forall x \in \text{Im } E \setminus \{1\}$

$$\nexists \begin{matrix} a \in M \\ \neq 0 \\ \neq 0 \end{matrix} \text{ s.t. } \begin{matrix} qa = \alpha_X(a)q \\ \forall x \in M_w \end{matrix}$$

• strongly free  $\Leftrightarrow^{\text{defn}}$   $\forall x \in \text{Im } E \setminus \{1\}$

$$\begin{matrix} \forall Q \subset M_w \\ \neq \emptyset \end{matrix} \text{ countably gen. v.N. } \begin{matrix} (\exists) \\ \nexists \end{matrix} \begin{matrix} a \in M_w \\ \neq 0 \end{matrix} \text{ s.t. } \begin{matrix} qa = \alpha_X(a)q \\ \forall x \in Q' \cap M_w \end{matrix}$$

Lem 8.9

(X, C) :  $E \sim M$  centrally free

$\forall X, Y \in E \quad \forall Q \subset M_w$  countably gen v.N.

$$\{a \in M_w \mid qa \alpha_X(a) = \alpha_Y(a)q \quad \forall x \in Q' \cap M_w\}$$

$$= \alpha(E(X, Y)) \alpha_X((Q' \cap M_w)' \cap M_w)$$

$\hookrightarrow$  similar proof  $\tau$  to Lem 8.6 □

Facts

• centrally free  $\Leftrightarrow$  strongly free

• M factor

centrally free  $\Leftrightarrow$  Each  $\alpha_x$  is centrally

non-trivial ( $X \in \text{Im } E \setminus \{1\}$ )

i.e.  $\alpha_x \neq \text{id}_{M_w}$  on  $M_w$

9.4 Loc. quantization.

For  $F \subset \text{Im} \mathcal{E} \setminus \{1\}$ ,

we construct  $e \in \mathcal{M}_U$  proj. s.t.

$$e \xrightarrow{\alpha_{X_1}(e)} \alpha_{X_2}(e) \dots$$

orthogonal proj's.  $X_1 \neq X_2 \neq \dots$

Lem. 9.10

$(\alpha_x, e) : e \rightsquigarrow \mathcal{M}$  cont. fibra.

$\varphi \in \mathcal{M}_x$  : faithful state

$$\varphi \uparrow_{Z(\mathcal{M})} : \alpha - \text{inv.}$$

(NOTE:  $(\alpha_x, \varphi)$  preserves  $Z(\mathcal{M})$ )

$\forall F \subset \text{Im} \mathcal{E} \setminus \{1\}, \forall \delta > 0.$

$\exists n \in \mathbb{N} \exists \{g_r\}_{r=0}^n$  part. of 1 in  $\mathcal{Q}(\mathcal{M}_U)$

s.t.

(1)  $|g_0|_{\varphi_U} < \delta$

(2)  $\sum_{k \in F} |g_r \alpha_x(g_r)|_{\varphi_U} < \delta \forall r \in \{0, \dots, n\}$

Proof.

$$F = \{X_1, \dots, X_m\} \quad (X_i \neq X_j)$$

$$X := \mathbb{1} \oplus X_1 \oplus \dots \oplus X_m \in \mathcal{E}$$

$$X_0 \quad T_k : X_k \rightarrow T$$

Set

$$N := \alpha_x(\mathcal{Q}(\mathcal{N}(\mathcal{M}_U))) \subset \mathcal{M}_U$$

$$\rightsquigarrow N \subset (\mathcal{M}_U^W) \alpha_x(\varphi^W)$$

$$(i) \quad x \in \mathcal{Q}' \cap \mathcal{M}_U$$

$$\alpha_x(x) \alpha_x(\varphi^W) = \alpha_x(x \varphi^W) = \alpha_x(\varphi^W x) = \alpha_x(\varphi^W)$$

$$\rightsquigarrow \exists E : \mathcal{M}_U^W \rightarrow N \vee (N' \cap \mathcal{M}_U^W)$$

$\alpha_x(\varphi^W)$  - preserving cond. exp.

$$(ii) \quad \sigma_t^{\alpha_x(\varphi^W)}(x) = x \quad \text{on } N$$

$\rightsquigarrow \sigma_t^{\alpha_x(\varphi^W)}$  - inv.  $N \vee (N' \cap \mathcal{M}_U^W)$  is

$\rightarrow$  Takesaki thm (JFA)

$\square$



NOTE  $\alpha_{X_A}(\varphi^w)(y) = \varphi^w(y)$

$y \in \alpha_{X_A}(M)' \cap M^w$

(iii)  $\alpha_{X_A}(\varphi^w)(y) = \alpha_{X_A}(\varphi)(\tau^w(y))$

$\alpha_{X_A}(M)' \cap M = Z(M)$

freedom

$\neq \varphi(\tau^w(y))$

$\alpha_{X_A} - \text{inv}$

Hence

$\sum_{r=1}^m \sum_{r \in J} \frac{d(X_A)}{d(X)} \|g_r \alpha_{X_A}(g_r)\|_{\varphi^w}^2 < m \epsilon^2$

very small

$\varphi^w(|g_r \alpha_{X_A}(g_r)|) = \varphi^w(|\alpha_{X_A}(g_r)| - 1)$

$\leq \varphi^w(\alpha_{X_A}(g_r)) \varphi^w(1)^{\pm}$

$= \varphi^w(g_r)^{\pm} \| \cdot \|_{\varphi^w}$

$J_0 := \{r \in J \mid \sum_{R=1}^m \frac{d(X_A)}{d(X)} \|g_r \alpha_{X_A}(g_r)\|_{\varphi^w}^2 < m \epsilon \|g_r\|_{\varphi^w}^2$

If  $r \in J_0$ , then

$(\sum_{R=1}^m \frac{d(X_A)}{d(X)} \|g_r \alpha_{X_A}(g_r)\|_{\varphi^w}^2)$

$\sqrt{\frac{d(X)}{d(X_A)}} \sqrt{\frac{d(X_A)}{d(X)}}$

$\leq \sum_{R=1}^m \frac{d(X_A)}{d(X)} \|g_r \alpha_{X_A}(g_r)\|_{\varphi^w}^2$

$\leq \sum_{R=1}^m \frac{d(X_A)}{d(X)} \varphi(g_r) \| \cdot \|_{\varphi^w}^2$

$< m \epsilon^2 \varphi(g_r)^{\pm} \epsilon$

$\sum_{R=1}^m m \sum_{R=1}^m \frac{d(X)}{d(X_A)} \epsilon \varphi(g_r)^{\pm}$

$\leq m^2 d(X) \epsilon \varphi(g_r)^{\pm}$

$\sum_{R=1}^m \|g_r \alpha_{X_A}(g_r)\|_{\varphi^w} < m d(X)^{\frac{1}{2}} \epsilon^{\frac{1}{2}} \varphi(g_r)$

$\sum_{r \in J_0} \|g_r\|_{\varphi^w} \leq \frac{1}{m \epsilon} \sum_{R=1}^m \frac{d(X_A)}{d(X)} \| \cdot \|_{\varphi^w}^2 < \frac{1}{m \epsilon} m \epsilon^2 = \epsilon$

$\varphi_0 := \sum_{r \in J \setminus J_0} g_r$

Lemma 1.1 (Support estim.)

$e \in M_w$  proj.  $\ast$   
 $\ast \in \mathcal{E}$ .

$sc \phi_x^\alpha(e) =$  the supp. proj of  $\phi_x^\alpha(e)$  (NOTE  $\in M_w$ ).

Then  $\forall \varphi \in M_\ast$  state

$$\varphi^w(sc \phi_x^\alpha(e)) \leq 2\alpha(x)^2 \alpha \varphi^w(e).$$

L

Proof.

Set  $g_{\text{fin}}^\ast = C_{\bar{x},x} R_x^\alpha R_x^{\alpha^\ast} C_{\bar{x},x}^\ast$  (proj in  $M$ ).

$U := 2g_x - 1$  is a self-adj. unitary in  $M$ .

$p := \alpha_{\bar{x}}^\alpha(e) \vee U \alpha_{\bar{x}}^\alpha(e) U^\ast \in \text{proj. in } M_w$ .

$$\leadsto U p U^\ast = p$$

$$\leadsto p g_{\ast} = g_{\ast} p.$$

Then

$$\begin{aligned} \underbrace{p^\perp g_{\ast}}_{\text{proj}} \phi_x^\alpha(e) &= p^\perp C_{\bar{x},x} R_x^\alpha \phi_x^\alpha(e) R_x^\ast C_{\bar{x},x}^\ast \\ &= p^\perp C_{\bar{x},x} R_x^\alpha R_x^{\alpha^\ast} C_{\bar{x},x}^\ast \alpha_{\bar{x}}^\alpha(e) C_{\bar{x},x} R_x^\alpha R_x^{\alpha^\ast} C_{\bar{x},x}^\ast \\ &= p^\perp g_{\ast} \alpha_{\bar{x}}^\alpha(e) g_{\ast} \\ &= g_{\ast} p^\perp \alpha_{\bar{x}}^\alpha(e) g_{\ast} \\ &= 0. \end{aligned}$$

$$p \geq \alpha_x(e).$$

$$\leadsto sc \phi_x^\alpha(e) \leq 1 - p^\perp g_{\ast}$$

$$g_{\ast} sc \phi_x^\alpha(e) g_{\ast} \leq g_{\ast} (1 - p^\perp g_{\ast}) g_{\ast}$$

$$\begin{aligned} &\| \| \\ &sc \phi_x^\alpha(e) g_{\ast} \quad \| \quad (1 - p^\perp) g_{\ast} \\ &\| \| \\ &p g_{\ast} \leq p \quad \text{--- (1)} \end{aligned}$$

Let  $U_p := \alpha_{\bar{x}}^\alpha(\varphi)$

$\leadsto U_p^w$  commuting  $\alpha_{\bar{x}}^\alpha(M_w)$  &  $U$

$$\begin{aligned} &\text{--- (2)} \\ &g_{\ast} \alpha_{\bar{x}}^\alpha(\varphi) = C_{\bar{x},x} R_x^\alpha R_x^{\alpha^\ast} \alpha_{\bar{x},x}^\alpha(\varphi) C_{\bar{x},x}^\ast \\ &= C_{\bar{x},x} \alpha_{\bar{x},x}^\alpha(\varphi) R_x^\alpha R_x^{\alpha^\ast} \\ &= \alpha_{\bar{x}}^\alpha(\varphi) g_{\ast} \end{aligned}$$

On the one hand,

$$\alpha_x(e) \vee u \alpha_x(e) u^*$$

$$\varphi^u(p) = \alpha_x(\alpha_x(\varphi^u)(p)).$$

trivial!

$$\leq \alpha_x(\alpha_x(\varphi^u)(\alpha_x(e)))$$

+

$$\alpha_x(\alpha_x(\varphi^u)(\underbrace{u \alpha_x(e) u^*}_{\neq}))$$

$$= \alpha_x(\varphi^u)(e) + \alpha_x(\varphi^u)(e)$$

$$= 2 \alpha_x(\varphi^u)(e). \quad \text{--- (3)}$$

On the other hand,

$$\varphi^u(S(\varphi_x^\alpha)(e)) = \alpha_x(\alpha_x(\varphi^u)(S(e) \alpha_x^* R_x^* R_x^* \alpha_x^*))$$

$$= \frac{1}{d(x)^2} \varphi^u(R_x^* \alpha_x^* R_x^* S(e) \alpha_x^* R_x^*)$$

$$= \frac{1}{d(x)^2} \varphi^u(S(e))$$

Hence

$$\varphi^u(S(e)) = d(x)^2 \varphi^u(S(e))$$

$$\text{--- (1)} \quad \leq d(x)^2 \varphi^u(p) \leq 2 d(x)^2 \alpha_x(\varphi^u)(e)$$

Lem. 9.18

$\omega(x) = e \in \mathbb{N} \times \mathbb{N}$  cont. free.

$\varphi \in M^*$ : faithful state  $\alpha$ -inv. on  $Z(M)$ .

$\forall \Gamma \subset \text{Inv} \setminus \{1\}$ ,  $\forall Q \subset M^u$  constant  $\forall N$ .

$\forall \delta > 0$ .

$\exists$   $\forall \epsilon > 0$ : part of 1 in  $\mathbb{Q} \cap M_u$

s.t.

$$|\text{real } \varphi^u| < \delta$$

$$\perp \quad \text{er } \alpha_x(R_r) = 0 \quad \forall r \geq 1 \quad \forall x \in \Gamma$$

Proof. (Oseleanu).

$\omega_M \Delta \quad \{ \alpha_x(Q) \subset Q \quad \forall x \in \text{Inv} \}$

$$\{ M \subset Q \rightarrow \alpha_x(Q) \subset Q \quad x \in \Gamma$$

Claim I.

$\forall \mu > 0 \quad \exists \epsilon \neq \emptyset \varphi \in \mathbb{Q} \cap M_u$  proj

$\exists \varphi' \in \mathbb{Q} \cap M_u \quad 0 \neq \varphi' \leq \varphi$

$$\sum_{x \in \Gamma} \|\alpha_x(\varphi')\| \varphi^u < 2\mu \|\varphi'\| \varphi^u$$

(ii) Claim 1.

$$\mathcal{Q} := \mathcal{Q} \cup \{x \in \mathcal{F} \mid x \in \mathcal{I} \cap \mathcal{E}\}$$

By Lemma 11, we have  $g_0, g_1, \dots, g_n \in \mathcal{Q}' \cap \mathcal{M}_w$ .

$$\cdot |g_0|_{\mathcal{P}_w} < \frac{1}{2} |f|_{\mathcal{P}_w}$$

$$\cdot \sum_{x \in \mathcal{F}} |g_r \alpha_x(g_r)|_{\mathcal{P}_w} < \mu |f|_{\mathcal{P}_w} |g_r|_{\mathcal{P}_w}$$

$\forall r=1, \dots, n$

Put  $\bar{g}_r := g_r \# (\subseteq \mathcal{F})$   $r=0, \dots, n$ .

$$f_{g_r} \in \mathcal{Q}' \cap \mathcal{M}_w$$

$$g_r \alpha_x(\bar{g}_r) = f_{g_r} \alpha_x(g_r) \alpha_x(\mathcal{F})$$

$$\rightarrow |g_r \alpha_x(\bar{g}_r)|_{\mathcal{P}_w} \leq |g_r \alpha_x(g_r)|_{\mathcal{P}_w}$$

Suppose  $\forall r=1, \dots, n$

$$\sum_{x \in \mathcal{F}} |g_r \alpha_x(\bar{g}_r)|_{\mathcal{P}_w} \geq 2\mu |g_r|_{\mathcal{P}_w}$$

Then

$$\sum_{r=1}^n \sum_{x \in \mathcal{F}} |g_r \alpha_x(\bar{g}_r)|_{\mathcal{P}_w} \geq 2\mu \sum_{r=1}^n |g_r|_{\mathcal{P}_w}$$

$$2\mu \varphi_w^m((1-g_0) f)$$

$$2\mu (\varphi_w^m(f) - \varphi_w^m(g_0)) > \frac{1}{2} \mu |f|_{\mathcal{P}_w}$$

$$\exists n \geq 1 \sum_{x \in \mathcal{F}} |g_n \alpha_x(\bar{g}_n)|_{\mathcal{P}_w} < 2\mu |g_n|_{\mathcal{P}_w}$$

$$\rightarrow \bar{g}_n \neq 0 \ \& \ \bar{g}_n \subseteq \mathcal{F}$$

Claim 2.

$$\forall \mu > 0 \ \forall f \in \mathcal{Q}' \cap \mathcal{M}_w \ \exists \text{proj } \# \mathcal{Q}$$

$$\exists e \in \mathcal{Q}' \cap \mathcal{M}_w \ \text{proj } \# \mathcal{Q}$$

st.

$$(1) \ e \subseteq \mathcal{F}$$

$$(2) \ \sum_x |e \alpha_x(e)|_{\mathcal{P}_w} \leq \mu |e|_{\mathcal{P}_w} \quad (x \in \mathcal{F})$$

$$(3) \ |e|_{\mathcal{P}_w} \geq (1 + 4|\mathcal{F}|_G)^{-1} |f|_{\mathcal{P}_w}$$

Proof of Claim 2.

Take a maximal proj  $e$  with (1), (2).

$$\# \mathcal{Q} \leftarrow \text{Claim 1.}$$

$$\forall e \cup \bigvee_{x \in \mathcal{F} \cup \mathcal{F}} s(\mathcal{F}_x^{\alpha}(e)) \vee (1-\#) = 1$$

$$(ii) \ \text{If } p \perp \text{LTS} \neq 0$$

$$\sum_x |p \alpha_x(p)|_{\mathcal{P}_w} \leq \mu |p|_{\mathcal{P}_w}$$



$$\varphi \geq \bar{e} := e + \frac{1}{p} \geq e.$$

No.

$$(e + \frac{1}{p}) \alpha_x(e)$$

$$\varphi \varphi_x^\alpha(e) \quad p = 0$$

"

$$\varphi_x^\alpha(\alpha_x(p) e \alpha_x(p))$$

$$\rightarrow e \alpha_x(p) = 0 \quad x \in F \cup \bar{F}.$$

&

$$\varphi_x^\alpha(\alpha_x(e) p \alpha_x(e))$$

$$= e \varphi_x^\alpha(p) e$$

$$= e \left[ \begin{matrix} R_x^* & \alpha_x^\alpha \\ \alpha_x^\alpha & R_x^* \end{matrix} \right]_{\substack{p \\ M_u}} \alpha_x^\alpha(p) \dots$$

$$= 0.$$

$$\rightarrow p \alpha_x(e) = 0$$

Then

$$\bar{e} \alpha_x(\bar{e}) = e \alpha_x(e) + p \alpha_x(p)$$

$$\alpha_x M_u$$

$$|e \alpha_x(\bar{e})|_{p^w} \leq |e \alpha_x(e)|_{p^w} + |p \alpha_x(p)|_{p^w}$$

$$\sum$$

$$\leq \mu |e|_{p^w} + \mu |p|_{p^w} = \mu |\bar{e}|_{p^w}.$$

1 By Lem.

$\varphi^w \uparrow \mu$  trace

$$\varphi^w 1 \leq \varphi^w(e) + \sum_{x \in F \cup \bar{F}} \varphi^w(s(\varphi_x^\alpha(e))) + \varphi^w(1 \neq)$$

$$\leq \varphi^w(e) + \sum_{x \in F \cup \bar{F}} 2d(x)^2 \alpha_x^\alpha(\varphi^w(e)) + \dots$$

$$= (1 + 4|F|_0) \varphi^w(e) + 1 - \varphi^w(\neq)$$

$$\text{Fix } \delta > 0 \text{ \& } m \in \mathbb{N} (1 - (1 + 4|F|_0)^{-1})^m < \delta.$$

Claim 3.

$$\forall \delta > 0, \exists \epsilon_0, \epsilon_1, \dots, \epsilon_m \in \mathbb{Q} \cap M_u \text{ part. of } 1$$

$$(1) |e|_{p^w} < \delta$$

$$(2) \sum_{x \in F} |e \alpha_x(\epsilon_k)|_{p^w} < \mu |e|_{p^w}$$

(iii) Claim 3.

$$\text{Let } \varphi_i = 1.$$

By induction

$$\exists \epsilon_k \leq \varphi_{k+1}$$

$$\cdot \epsilon_k \leq \varphi_k$$

$$\cdot \varphi_{k+1} = \varphi_k - \epsilon_k$$

$$\cdot \sum_x |e \alpha_x(\epsilon_k)| \leq \mu |e|_{p^w}$$

$$\cdot |e|_{p^w} \geq (1 + 4|F|_0)^{-1} |e|_{p^w}$$



Lem. 9.13

$\alpha \cdot e_1 = e \in N \setminus M$  not necessarily sep  $N \setminus M$

$K \subset \text{Im } e$

Suppose a proj  $e \in N$  satisfies

- $e \alpha_X(e_1) = 0 \quad \forall X \in (\bar{K} \setminus K) \setminus \{1\}$
- $e \in \overline{R_X} \cap N^{\alpha_X}$
- $e \alpha_X^T = \alpha_X^T e \quad \forall T$  morphism  $e \in C_{X,Y} = C_{X,Y} e \quad \text{in } e$

Then the followg holds:

- (1)  $\alpha_X(e_1) \alpha_Y(e_1) = 0 \quad \forall X \neq Y \in K$
- (2)  $\{ \alpha_X(e_1)^2 \phi_X^\alpha(e_1) \}_{X \in K}$  mutually ortho. projections
- (3)  $d(X)^2 \alpha_X(e_1) C_{X,\bar{X}} \bar{R}_X \bar{R}_X^{\alpha_X} C_{X,\bar{X}} \alpha_X(e_1) = \alpha_X(e_1)$

(1)  $\alpha_{\bar{X} \circ Y}(e) = \sum_{Z \in S} S^\alpha \alpha_Z(e_1) S^{\alpha_X}$

$S \in \text{ORB}(Z, \bar{X} \circ Y)$

$Z \in \bar{X} \circ Y$

$Z \neq \mathbb{1}$ .  
Since  $X \neq Y$

$\rightarrow e \alpha_{\bar{X} \circ Y}(e_1) = \sum S^\alpha e \alpha_Z(e_1) S^{\alpha_X} = 0$  by assumption

$\rightarrow e \alpha_{\bar{X}}(\alpha_Y(e_1)) = 0$

$\phi_X^\alpha(\alpha_X(e_1) \alpha_Y(e_1) \alpha_X(e_1))$

$= e \phi_X^\alpha(\alpha_Y(e_1)) e$

$= e \underbrace{R_X^{\alpha_X} C_{\bar{X},X}^{\alpha_X}}_{\alpha_{\bar{X}}} \alpha_{\bar{X}}(\alpha_Y(e_1)) C_{\bar{X},X} R_X^{\alpha_X} e$

$= 0$

(2)  $\alpha_{\bar{X}}(\alpha_X(e_1)) = C_{\bar{X},X} \alpha_{\bar{X} \circ X}(e_1) C_{\bar{X},X}^*$

$= C_{\bar{X},X} \sum_{Z \in S} S^\alpha \alpha_Z(e_1) S^{\alpha_X} C_{\bar{X},X}^*$

$S : Z \rightarrow \bar{X} \circ X$

$e \alpha_Z(e_1) = 0 \quad \forall Z \neq \mathbb{1}$

$e \alpha_{\bar{X}}(\alpha_X(e_1)) = C_{\bar{X},X} R_X^{\alpha_X} e R_X^{\alpha_X} C_{\bar{X},X}^*$

$= \alpha_{\bar{X}}(\alpha_X(e_1)) C_{\bar{X},X} R_X^{\alpha_X} R_X^{\alpha_X} C_{\bar{X},X}^*$

$\xrightarrow{\phi_X^\alpha} \phi_X^\alpha(e_1) \alpha_X(e_1) = \alpha_X(e_1) \phi_X^\alpha(C_{\bar{X},X} R_X^{\alpha_X} R_X^{\alpha_X} C_{\bar{X},X}^*)$

$d(X)^2 \phi_X^\alpha(e_1) \alpha_X(e_1) = \alpha_X(e_1)$

$\parallel \frac{1}{d(X)^2}$

Easy to check  $\phi_x^\alpha(e)$  commutes with  $T^\alpha$  &  $C_{X,Y}$ .

Hence

$$\begin{aligned} d(X)^* \phi_x^\alpha(e)^2 &= \phi_x^\alpha(e) \overbrace{R_x^{\alpha*} C_{X,\bar{X}}^*}^{\downarrow} \alpha_x(e) C_{X,\bar{X}} \overbrace{R_x^\alpha}^{\downarrow} \\ &= \overline{R_x^{\alpha*}} C_{X,\bar{X}}^* \frac{\alpha_x(e)}{d(X)^2} C_{X,\bar{X}} \overline{R_x^\alpha} \\ &= \frac{\phi_x^\alpha(e)}{d(X)^2} \end{aligned}$$

$$\rightarrow d(X)^2 \phi_x^\alpha(e) \text{ Proj.}$$

Orthogonality  $X \neq Y \in K$

$$\begin{aligned} \phi_x^\alpha(e) \phi_y^\alpha(e) &= \phi_x^\alpha(e \alpha_x(\phi_y^\alpha(e))) \\ &= 0 \end{aligned}$$

Indeed,

$$\begin{aligned} e \alpha_x(\phi_y^\alpha(e)) &= e \alpha_x(\overline{R_y^{\alpha*}} C_{Y,\bar{Y}}^* \alpha_y(e) C_{Y,\bar{Y}} \overline{R_y^\alpha}) \\ &= \alpha_x(\overline{R_y^{\alpha*}} C_{Y,\bar{Y}}^* \underbrace{e \alpha_x(\alpha_y(e))}_{=0}) \dots \end{aligned}$$

(3) We know since.

$$d(X)^2 \phi_x^\alpha(e) = d(X)^2 \overline{R_x^{\alpha*}} C_{X,\bar{X}}^* \alpha_x(e) C_{X,\bar{X}} \overline{R_x^\alpha}$$

$$\rightarrow d(X) \alpha_x(e) C_{X,\bar{X}} \overline{R_x^\alpha} \text{ p.i.}$$

$$\rightarrow d(X)^2 \alpha_x(e) C_{X,\bar{X}} \overline{R_x^{\alpha*}} \overline{R_x^\alpha} C_{X,\bar{X}}^* \alpha_x(e) \subseteq \alpha_x(e)$$

$\phi_x^\alpha$  holds indeed Proj

$$\begin{aligned} d(X)^2 e \phi_x^\alpha(e) &= \overline{R_x^{\alpha*}} C_{X,\bar{X}}^* \overline{R_x^\alpha} C_{X,\bar{X}} \alpha_x(e) e \subseteq e \\ &= e \end{aligned}$$

Section 3: Rohn tower construction.

Rohlin:  $\mathbb{Z} \curvearrowright \mathcal{L}^\infty(X, \mu)$  ergodic.

$e_1, e_2, \dots, e_m$  part of 1  
 $\downarrow \alpha$   
 $\alpha$

Cones:  $\mathbb{Z} \curvearrowright M_{\mathbb{C}}^n$  cont. free.

Odoreanu:  $\Gamma \curvearrowright M_{\mathbb{C}}^n$  amenable cont. free.

$(F, S)$ -inv.  $K$

i.e.  $\|F \cdot K \Delta K\| < \delta \|K\|$

$\exists \{e_g\}_{g \in \mathbb{Z}}$  part of 1 inv.  $M_{\mathbb{C}}^n$

$e_g = 0$  ( $g \neq k$ )

$$\sum_{g \in \mathbb{Z}} |x_g(e_g) - e_{kg}|_{p_w} \leq \delta \|x\|_{p_w}^2$$

const.

Thm 3.1 (Rohlin tower)

$(\alpha, \rho) : \mathbb{Z} \curvearrowright M^m$  cont. free, amenable, VN alg.

$\varphi \in M^*$ : faithful state  $\varphi|_{\mathcal{L}^\infty(X, \mu)}$   $\alpha$ -inv.

$\forall F \in \text{Inv} E$ ,  $\delta > 0$ .

$\mathbb{I} \subseteq \mathbb{Z}$

$\forall K \in \text{Inv} E$   $(F, S)$ -inv.

$\forall Q \in M^m$  constant VN alg.

Then  $\exists E_x \in M^m$  with  $x \in E$  s.t. projection.

(1) (Naturality)

$$E_x^T x = T^x E_x$$

$x, y \in E$   
 $T^x \in \mathcal{L}(x, y)$

(2) (Supported on  $K$ )

$$E_x = 0 \quad \forall x \in \text{Inv} E \setminus K$$

(3)

$$E_x \in \mathcal{K}(Q)' \cap M^m \quad \forall x \in \text{Inv} E$$

(4) (Splitting property)

$$T^u(E_x \alpha) = T^u(E_x) T^u(\alpha)$$

$\forall x \in E, \alpha \in \mathcal{Q}$

(5) ("Partition of 1")

$$\{d(x)^2 \tilde{R}_x^{\alpha*} C_{x,\tilde{x}}^{\tilde{x}} E_x C_{x,\tilde{x}} \tilde{R}_x^{\alpha} \}_{x \in K}$$

orthogonal projections.

(6) (approx. part. of 1)

$$\sum_{x \in K} d(x)^2 \varphi^u(\tilde{R}_x^{\alpha*} C_{x,\tilde{x}}^{\tilde{x}} E_x C_{x,\tilde{x}} \tilde{R}_x^{\alpha}) \geq 1 - \delta^{\frac{1}{2}}$$

(7) (approx. equiv.)

$$\sum_{x \in F} \sum_{y \in K} d(x)^2 d(y)^2 |x_y(E_x) - C_{x,y} E_{x,y} C_{x,y}^*|_{\alpha(x,y)} \leq 6\delta^{\frac{1}{2}} |F|_G$$

(8) (Resonance prop)

$$E_x C_{x,\tilde{x}} \tilde{R}_x^{\alpha} E_y = \frac{\delta_{x,y}}{d(x)} d_x(C_{x,x} R_x^{\alpha}) E_x$$

$\forall x, y \in \mathcal{W}E$

We will call such  $(E_x)_x$  a Rohlin tower

along with  $K$ .  $\parallel E$

$$\mathcal{J} := \{ (E_x)_x \mid \begin{array}{l} \text{Satisfies} \\ (1), (2), (3), (4), (5), (8) \end{array} \}$$

LEM.  $\mathcal{Q}_E \neq \emptyset$   $0 = (0)_x \in \mathcal{J}$   $\mathcal{J} \neq \emptyset$

$$Q_E := \frac{1}{|F|_G} \sum_{y \in F} \sum_{y \in F} d(x)^2 d(y)^2 |x_y(E_x) - C_{x,y} E_{x,y} C_{x,y}^*|_{\alpha(x,y)}$$

$$b_E := \sum_x d(x)^2 \varphi^u(\tilde{R}_x^{\alpha*} \dots \tilde{R}_x^{\alpha}) \leq 1.$$

$$= \sum_x d(x)^2 \varphi^u(E_x).$$

LEM.

Let  $E = (E_x)_x \in \mathcal{J}$  &  $b_E < 1 - \delta^{\frac{1}{2}}$

$\exists E' \in \mathcal{J}$  st.

$$Q_{E'} - Q_E \leq \epsilon \delta^{\frac{1}{2}} (b_{E'} - b_E)$$

$$0 < \frac{\delta^{\frac{1}{2}}}{2} \sum_{x \in K} d(x)^2 |x_y(E_x) - E_x| \leq b_{E'} - b_E$$

Proof (Sketch) (due to Oareana)

$|e_1| < \delta_1$  very small  $\delta_1 < \delta$ .

$\exists r \in \mathcal{X}(e_r) = \emptyset \quad r \geq 1. \quad x \in \mathbb{R}_+, K, \mathbb{N}^+$

$K = K \cup (E \setminus K)$

$f_r := \sum_{x \in F} d(x)^2 \phi_x^r(e_r) \in \mathbb{N} \cup \mathbb{Q}^+$

proj  $r \geq 1$

$E_r := E_x \overset{\text{old one}}{\uparrow} f_r + \mathcal{X}(e_r) \quad x \in K$

destroyed part building part

We can show  $\exists r \geq 1$  the desired

maps holds

Proof of Thm.

$E_1 \equiv E_2 \text{ in } \mathcal{J} \iff E_1 = E_2$

or

$(E_2 - \mathcal{O}E_1) \leq \delta_1^2 (bE_2 - hE_1)$

$\equiv$  is an order.

Induction?

$(E^2)_{r \leq N}$  totally ordered.

$bE^2 \nearrow$  increasing in  $\mathbb{R}_+^2 [0, 1]$ .

$bE^2 \in [0, 1]$

Carokey

$\frac{\delta_1^2}{2} \sum_{x \in K} d(x)^2 \phi_x^r (|E_x^A - E_x^M|) \rightarrow 0$

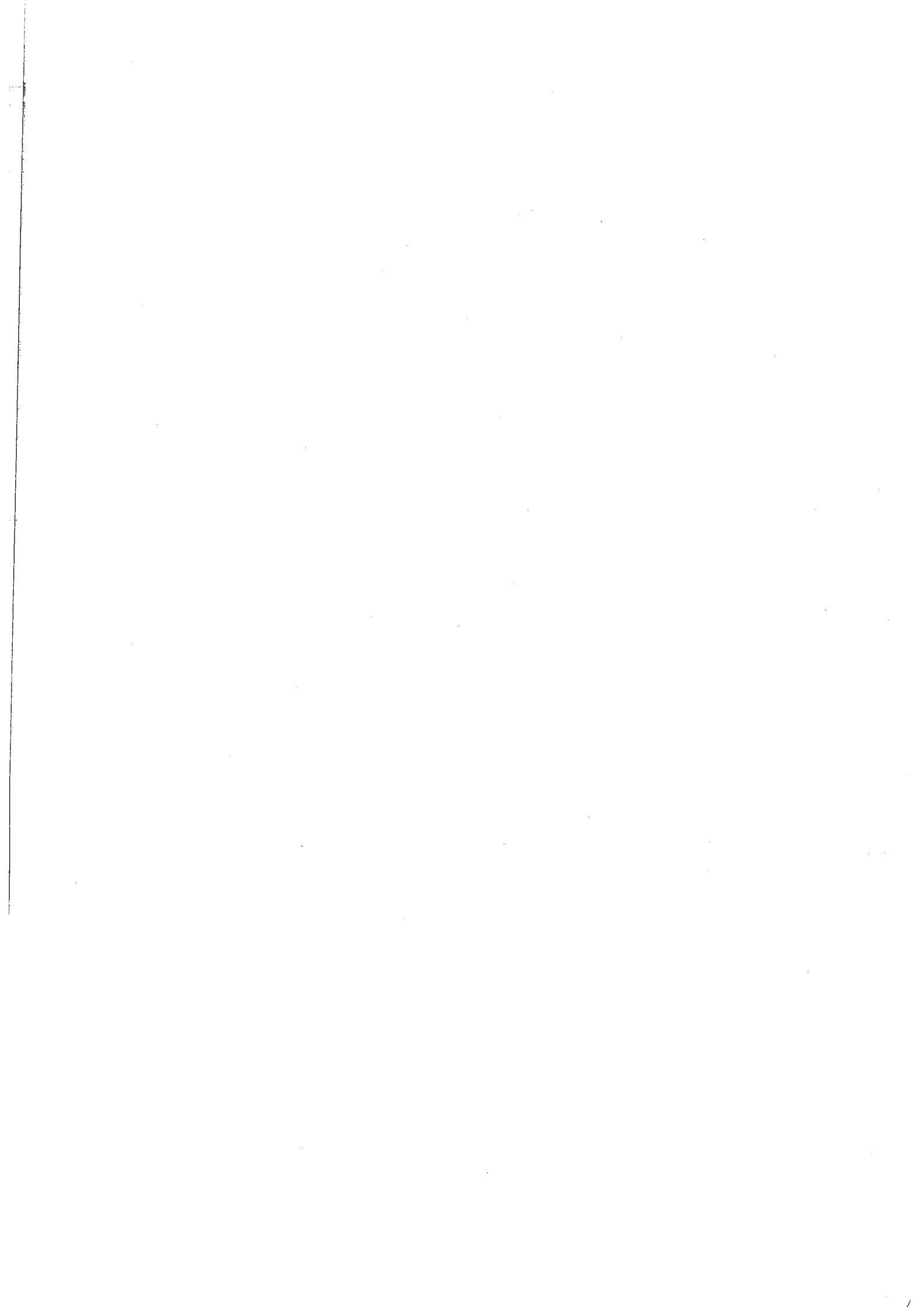
$\rightarrow E_x^A \xrightarrow{\delta_1^2} E_x \in \mathcal{J}$

$E_x^A \rightarrow E_x \in \mathcal{J}$

Let  $E = (E^2)_x \in \mathcal{J}$  maximal

$B_3$  low  $bE \geq 1 - \delta_1^2$







Section 4. Classification

No.

Given

$(\alpha, C^\alpha) : \mathbb{R}^N \rightarrow \mathbb{M}$  cont free

$(\beta, C^\beta) : \mathbb{R}^N \rightarrow \mathbb{M}$  cont free

They are approx. unitary equiv.

i.e.  $\forall x \in \mathbb{R}^N \exists U_x \in \mathbb{M}^m$

$U_x \alpha_x(C^{\alpha^m}) U_x^* = \beta_x(C^{\beta^m})$

$\Leftrightarrow \lim_{n \rightarrow \infty} \|U_x^{(n)} \alpha_x^{(n)}(U) U_x^{(n)*} - \beta_x(U)\| = 0$

$\rightarrow U_x \alpha_x(\alpha) U_x^* = \beta_x(\alpha)$

$(\alpha, C^\alpha)$  has CP  $\in \mathbb{M}_*^+$  faith st.

(cf ZUM)

$\alpha \upharpoonright_{ZUM}$  PMP action

$\parallel$

$\beta \upharpoonright_{ZUM}$

Thm. 4.1

$(\alpha, C^\alpha) \sim_{\text{strong}} (\beta, C^\beta)$

\* i.e.

strengthness  $\downarrow$

$\exists \theta \in \text{Aut}(\mathbb{M})$  approx inverse

$\exists U_x \in \mathbb{M}$  unitaries  $(x \in \mathbb{R}^N)$  st.

$\theta \circ \alpha_x \circ \theta^{-1} = \text{Ad } \beta_x \circ \beta_x \quad \forall x \in \mathbb{R}^N$

$\theta(C_{x,Y}^\alpha) = U_x \beta_x(U_Y) C_{x,Y}^\beta U_x^* \quad \forall x, Y \in \mathbb{R}^N$

$\theta(T_X^\alpha) = U_Y T \beta U_X^* \quad \forall x, Y \in \mathbb{R}^N$

$\forall T \in \mathcal{E}(X, Y)$

Re. A.2,  
Lem. A.2,

$\exists \psi_x \in \mathcal{U}^u$  ( $x \in \mathcal{E}$ ) withing  
st.

• Add  $\psi_x \cdot \alpha_x(\mathcal{U}^u) = \beta_x(\mathcal{U}^u)$   $\forall x \in \mathcal{E} \quad \forall \psi \in \mathcal{M}_x$

$\psi_x \tau^\alpha \psi_x^* = \tau^\beta \quad T: X \rightarrow Y$

(c2)

Take for  $x \in \mathcal{M} \in \mathcal{E}$ . st.

Add  $\psi_x \cdot \alpha_x(\mathcal{U}^u) = \beta_x(\mathcal{U}^u) \quad \forall \psi$

Then  $\forall x \in \mathcal{E}$ . st

$\psi_x := \sum_{z \in \mathcal{M} \in \mathcal{E}} S^{\alpha x} S^{\alpha z}$

$z \in \mathcal{M} \in \mathcal{E}$

$S \in \text{ONB}(Z, X)$ .

□

Then we set  $\gamma_x := \text{Ad } \psi_x \cdot \alpha_x$

$C_{x,y}^\gamma := \psi_x \alpha_x(\psi_y) C_{x,y}^\alpha \psi_x^*$

$\tau^\gamma := \psi_x \tau^\alpha \psi_x^* = \tau^\beta$

$(\gamma, C^\gamma) : \mathcal{E} \rightarrow \mathcal{M}^u$  coycle action.

$\gamma_x(\mathcal{U}^u) = \beta_x(\mathcal{U}^u) \quad \forall \psi \in \mathcal{M}_x$

$\gamma_x(C_x) = \beta_x(C_x) \quad \forall x \in \mathcal{M}$

$\tau^\gamma = \tau^\beta$

But what  $C_{x,y}^\gamma \neq C_{x,y}^\beta$ . In general ...

We want to perturb  $(\alpha, C^\alpha) \rightarrow (\beta, C^\beta)$  close to

Rohlin tower works well!

Let

$(F, S) \rightarrow \text{inv. } K \subset \text{Inv } \mathcal{E}$

Range small  $> 0$ .

$Q \subset \mathcal{M}^u$  enough large ( $\forall x \in Q$  etc).

$\rightarrow E = (E_x^\alpha) \times$  Rohlin tower along with  $K$ .

$\alpha_x(E_y^\alpha) \stackrel{\delta \epsilon^2}{\sim} C_{x,y}^\alpha E_{x,y} \alpha_x^*$

$x \in F, y \in \text{Inv } \mathcal{E}$

To simplify:

Sot  $E_x^\alpha := U_x E_x^\alpha u_x^*$   $x \in \mathcal{E}$ .

→ Take  $\Theta$  error.

LEM. 4.3

$E^\alpha = (E_x^\alpha) \times$  satisfies Thm 3.1 conditions.

(ii) We only check the variance

$$E_x^\alpha C_{x,x}^\alpha R_x^\alpha E_y^\alpha$$

$$= U_x E_x^\alpha U_x^* \cdot U_x \alpha_x(U_x) C_{x,x}^\alpha U_x^* U_x \alpha_x^*$$

$$U_x \alpha_x R_x^\alpha U_x^*$$

$$U_y E_y^\alpha U_y^*$$

$$= U_x \alpha_x(U_x) E_x^\alpha C_{x,x}^\alpha R_x^\alpha U_y E_y^\alpha U_y^*$$

$$= U_x \alpha_x(U_x) \alpha_x(U_x) E_x^\alpha C_{x,x}^\alpha R_x^\alpha E_y^\alpha U_y^*$$

$$= \frac{\delta_{x,y}}{d(x)} U_y \alpha_x(U_x) \alpha_x(U_x) \cdot \alpha_x(C_{x,x}^\alpha R_x^\alpha) E_x^\alpha U_x^*$$

$$= \frac{\delta_{x,y}}{d(x)} U_x \alpha_x(C_{x,x}^\alpha R_x^\alpha) E_x^\alpha U_x^*$$

$$U_x (C_{x,x}^\alpha R_x^\alpha) E_x^\alpha$$

Now we assume  $b_E = 1$  for simplicity.

$$U_x := \sum_{y \in \mathcal{I}(x)} d(y) R_y^\alpha C_{y,y}^\alpha \alpha_y(C_{y,x}^\alpha R_{y,x}^\alpha) E_y^\alpha C_{y,y}^\alpha R_y^\alpha$$

(I=41)

ratio  $\leq 11$ .

$$C_{x,y}^\alpha = C_{x,y}^\alpha$$

$$d_{x,y} := C_{x,y}^\alpha$$

LEM. 4.4.

$U_x$  unitary

$$U_x \alpha_x(C_{x,x}^\alpha) = \alpha_x(C_{x,x}^\alpha) U_x$$

$$U_x T^\alpha = T^\beta U_x$$

(ii)

$$U_x \alpha_x(\psi_x^b)$$

$$= \sum_y d(y) R_y^\alpha C_{y,y}^\alpha \alpha_y(C_{y,x}^\alpha R_{y,x}^\alpha) E_y^\alpha C_{y,y}^\alpha R_y^\alpha \alpha_x(\psi_x^b)$$

$$\alpha_y \alpha_x(\psi_x^b)$$

$$= \sum d(y) R_y^\alpha C_{y,y}^\alpha \alpha_y(C_{y,x}^\alpha R_{y,x}^\alpha) E_y^\alpha C_{y,y}^\alpha R_y^\alpha \alpha_x(\psi_x^b)$$

$$\chi_x(N_{X|Z}) = \sum_Z d(z)^2 \chi_x(R_z^{\gamma^*} C_{z,z}^* \gamma_z(d_{z,y}^* C_{z,x}^*))$$

$$\sqrt{\chi_x(E_Z^{\gamma^*})} \cdot \gamma_x(C_{z,z}^* R_z^{\gamma^*})$$

$$\sum_Z \sum_{R_z^{\gamma^*}} d(z)^2 \gamma_x(R_z^{\gamma^*} C_{z,z}^*) \cdot C_{x,z} \gamma_{x,z}(d C^*) R_{x,z}$$

$$\cdot C_{x,z} E_{x \times z}^* \gamma_x(C_{z,z}^* R_z^{\gamma^*})$$

$$= \sum_{Z^V} \sum_{S: V \rightarrow X \times Z} d(z)^2 \gamma_x(R_z^{\gamma^*} C_{z,z}^*) C_{x,z} S^{\gamma^*}$$

$$\gamma_V(d_{z,y}^* C_{z,x}^*) E_V \left. \right\}^*$$

$$\boxed{S^{\gamma^*} C_{x,z}^* \gamma_x(C_{z,z}^* R_z^{\gamma^*})}$$

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$$S: V \rightarrow X \times Z$$

$$\rightarrow Fr(S): X \rightarrow V \times Z$$

$$\parallel$$

$$\left( \frac{Fr d(S)}{d(X)^{\frac{1}{2}} d(Z)^{\frac{1}{2}}} C_{V,Z}^T \right)$$

$$\frac{d(V)^{\frac{1}{2}}}{d(X)^{\frac{1}{2}} d(Z)^{\frac{1}{2}}} T = (S^* \parallel) \left( \begin{matrix} 1 \\ \gamma \end{matrix} \cdot R_z \right)$$

$$\frac{d(V)^{\frac{1}{2}}}{d(X)^{\frac{1}{2}} d(Z)^{\frac{1}{2}}} C_{V,Z}^T = C_{V,Z}^T \left[ (S^* \parallel) \left( \begin{matrix} 1 \\ \gamma \end{matrix} \cdot R_z \right) \right]$$

$$= S^* C_{x,z} \left[ (1 \times \gamma \cdot R_z) \right]^{\gamma}$$

$$= S^* C_{x,z} \gamma_x(C_{z,z}^*) C_{x,z} \left[ \gamma \right]^{\gamma}$$

$$= S^* C_{x,z} \gamma_x(C_{z,z}^* R_z^{\gamma^*})$$

No.

$$\gamma_x(u_x) \approx \sum_{z, v, T} \sum_{T: X \rightarrow V \rightarrow z}$$

$$\frac{d(z)}{d(x)} d(v) T^T \gamma^* C_{v,z}^* \gamma_V(d_{z,y}(C_{z,y}^*)) E_V$$

$C_{v,z} T^T \gamma$

$N_x \gamma_x(u_x)$

$$N_x \sum_{z, v, T} \frac{d(z) d(v)}{d(x)} T^T \gamma^* \gamma_{u,z}(u_x) C_{v,z}^*$$

$$= \sum_{z, v, T} \frac{d(z) d(v)}{d(x)} T^T C_{v,z}^*$$

$$\gamma_V(\gamma_z(u_x) d_{z,y}(C_{z,y}^*)) E_V$$

$$N_x = \sum_u d(u)^2 R_u^T C_{u,u}^* \gamma_U(d_{u,x}(C_{u,x}^*)) C_{u,u}^* E_U$$

$$\approx \sum_{z, v, T, U} \frac{d(u)^2 d(z) d(v)}{d(x)}$$

$$R_u^T C_{u,u}^* \gamma_U(d_{u,x}(C_{u,x}^*)) E_U$$

$$E_U \cdot \gamma_U(\gamma_U(T^T C_{v,z}^* \gamma_V(d_{z,y}(C_{z,y}^*)))$$

$S_{v,u} \text{ comm.} \rightarrow C_{u,u} R_u E_U$

$$= \sum_{z, v, T} \frac{d(u)^2 d(z)}{d(x)}$$

$$R_u^T C_{u,u}^* \gamma_U(d_{u,x}(C_{u,x}^*))$$

$$\gamma_U(\gamma_U(T^T C_{v,z}^* \gamma_V(d_{z,y}(C_{z,y}^*)))$$

$$\gamma_V(C_{v,v} R_v^T) E_V$$

$$C_{v,z} T^T \gamma$$

← P40 F.

$$\rightarrow u \times \gamma_x(Bx) C_{x,Y} u_{x \times Y}^*$$

$$\approx \sum_{\sigma, z, T} \frac{d(\tau)^2 d(z)}{d(x)}$$

$$R_{\tau}^{\gamma^*} C_{\sigma, \tau}^* \gamma_{\tau} (d_{\tau, X} C_{\tau, X}^* \gamma_{\tau}^* (T^{\gamma^*} C_{\sigma, z}^* \gamma_{\tau} (d_{z, Y} C_{z, Y}^*)))$$

$$\cdot \gamma_{\tau} (C_{\tau, \tau}^* E_{\tau} C_{x, Y} u_{x \times Y}^*)$$

$$T: X \rightarrow Y \text{ or } Z$$

$$E_{\tau} C_{\sigma, z}^* T^{\gamma} C_{x, Y} u_{x \times Y}^*$$

$$= E_{\tau} \left[ \sum_V \alpha(V)^2 \bar{R}_V^{\gamma^*} C_{V, \tau}^* \gamma_V (C_{\sigma, z}^* T^{\gamma} C_{x, Y} u_{x \times Y}^*) \right]$$

$$= E_{\tau} \sum_V \alpha(V)^2 \bar{R}_V^{\gamma^*} C_{V, \tau}^* \gamma_V (\gamma_V (C_{\sigma, z}^* T^{\gamma} C_{x, Y} u_{x \times Y}^*))$$

$$\text{(res)} = \alpha(\tau) \gamma_{\tau} (R_{\tau}^{\gamma^*} C_{\tau, \tau}^* E_{\tau} \gamma_{\tau} ( \dots ))$$

Thus  $u \times \gamma_x(uY) C_{x, Y} u_{x \times Y}^*$

$$\approx \sum_{\sigma, z, T} \frac{d(\tau)^3 d(z)}{d(x)}$$

$$R_{\tau}^{\gamma^*} C_{\sigma, \tau}^* \gamma_{\tau} (C_{\sigma, Y, z, T} E_{\tau} C_{\sigma, \tau}^* R_{\tau}^{\gamma^*})$$

$$C_{\sigma, Y, z, T} = \alpha_{\tau, X} C_{\tau, X}^* \gamma_{\tau}^* (T^{\gamma^*} C_{\sigma, z}^* \gamma_{\tau} (d_{z, Y} C_{z, Y}^*))$$

$$C_{\tau, \tau}^* R_{\tau}^{\gamma^*} R_{\tau}^{\gamma^*} C_{\tau, \tau}^*$$

$$\gamma_{\tau}^* (C_{\sigma, z}^* T^{\gamma} C_{x, Y} u_{x \times Y}^*) C_{\tau, X}^* \gamma_{\tau} (d_{z, Y} C_{z, Y}^*)$$